

# QF RINGS AND QF ASSOCIATED GRADED RINGS

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ABSTRACT. The associated graded ring of  $QF$  (quasi-Frobenius, generally not commutative) ring  $R$  is not necessarily  $QF$ . We shall prove that the associated graded ring of  $R$  is  $QF$  if and only if  $R$  is  $QF$  and for any primitive idempotent  $e$  the upper Loewy series of  $Re$  and  $eR$  is coincident with the lower Loewy series of  $Re$  and  $eR$  respectively.

In connection with the above result we consider for any pair of positive integers  $t, n$  a ring  $\Lambda = K[x_0, x_1, \dots, x_n]/(x_i^t - \frac{1}{x_i} \prod_{j=0}^n x_j \mid i = 0, 1, \dots, n)$ , because for  $t \neq n$ , the associated graded ring of  $\Lambda$  is different from  $\Lambda$  but they are both  $QF$  (=0-dimensional Gorenstein). So we expect that for  $t = n$ ,  $\Lambda$  is Gorenstein even if Krull dimension  $> 0$ . We pointed out however that if  $t = n = 2$ ,  $\Lambda$  is not Gorenstein, but Cohen-Macaulay. Further if  $n = t = 3$ ,  $\Lambda$  is neither Cohen-Macaulay nor toric, of course not Gorenstein.

## 1. A CHARACTERIZATION OF $QF$ ASSOCIATED GRADED RINGS

For an Artinian ring  $R$  having the Jacobson radical  $J$  with  $J^{n+1} = 0$ , the series :  $R \supset J \supset J^2 \supset \dots \supset J^n \supset J^{n+1} = 0$  is called the upper Loewy series of  ${}_R R$  (resp.  $R_R$ ). If we put  $A_i = J^i/J^{i+1}$ , we can naturally define the multiplication of elements  $a + J^{i+1} \in A_i$  and  $b + J^{j+1} \in A_j$  to be  $ab + J^{i+j+1} \in A_{i+j}$ . Then by using this multiplication we make the (formal) direct sum  $A_0 \oplus A_1 \oplus \dots \oplus A_n$  into a ring  $R_G$ . Clearly this ring  $R_G$  is positive  $Z$ -graded and  $A_1$  generates the radical of  $R_G$ .  $R_G$  is called the associated graded ring of  $R$ . Cf.[3].  $R$  and  $R_G$  may be not isomorphic to each other. Cf. Example 2.1

By Morita equivalence [8] we can assume without loss of generality that rings are basic. Let  $e$  be a primitive idempotent of ring  $R$ . Then  $e + J \in A_0$  is a primitive idempotent of  $R_G$  which we shall denote by  $e_G$  for short. If we denote the right (resp. left) annihilator of a subset  $M$  of  $R$  by  $r(M)$  (resp.  $l(M)$ ), then  $Soc(Re) = r(J)e$  (resp.  $Soc(eR) = el(J)$ ). At first we have

**Proposition 1.1.** *If  ${}_{R_G} Soc(R_G e_G)$  is simple for a primitive idempotent  $e_G$ , then the  ${}_R Soc(Re)$  is simple. And if  $Soc(R_G e_G) \simeq R_G f_G / Rad(R_G) f_G$  for a primitive idempotent  $f$ , then  $Soc(Re) \simeq Rf / Jf$ .*

*Proof.* Let  $J^\rho e \neq 0$  and  $J^{\rho+1} e = 0$ . Then  $A_\rho e_G \neq 0$ . Let us denote the set  $\{\alpha \in R_G \mid A_1 \alpha = 0\}$  by  $r(A_1)$ . Since  $A_1$  generates the radical of  $R_G$ , by the assumption  $Soc(R_G e_G) = r(Rad(R_G))e_G = r(A_1)e_G$  is a unique simple  $R_G$ -submodule of  $R_G e_G$ . Hence  $r(A_1)e_G \subseteq A_\rho e_G$ . On the other hand  $r(A_1)e_G \supseteq A_\rho e_G$  by  $A_1 A_\rho e_G = 0$ . Hence  $r(A_1)e_G = A_\rho e_G$ .

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Now take  $u \in r(J)e$ . Then there is a unique positive integer  $j$  such that  $u \in J^j e \setminus J^{j+1} e$ . As  $au = 0$  for any  $a \in J$  we have that  $(a + J^2)(u + J^{j+1}) = au + J^{(j+1)+1} = 0 + J^{(j+1)+1} \in A_{j+1}$  for  $a + J^2 \in A_1$ . Therefore  $u + J^{j+1} \in r(A_1)e_G = A_\rho e_G$  and it follows that  $j = \rho$ . This implies that  $r(J)e \subseteq J^\rho e$ . On the other hand  $r(J)e \supseteq J^\rho e$  by  $J(J^\rho e) = 0$ . Hence  $J^\rho e = r(J)e$ . Further  $J^\rho e$  can be identified with  $A_\rho e_G$  because  $J^{\rho+1} e = 0$ .

Now  $R/J$  can be identified with  $R_G/\text{Rad}(R_G)$ . From  $\text{Rad}(R_G)^\rho e_G = A_\rho e_G$  is simple as a left  $R_G$ -module it follows that  $r(J)e$  is a simple  $R$ -module.

The latter statement follows from that  $f_G A_\rho e_G \neq 0$  if and only if  $f J^\rho e \neq 0$ . This completes the proof.  $\square$

Following Thrall [12] a ring  $R$  is said to be left  $QF$ -2 if the socle of  $Re$  is simple for every primitive idempotent  $e$ . Then we have immediately

**Corollary 1.2.**  *$R$  is left  $QF$ -2 if  $R_G$  is left  $QF$ -2.*

Now we shall prove

**Theorem 1.3.** *If  $R_G$  is  $QF$ , then  $R$  is  $QF$ .*

*Proof.* By the assumption that  $R$  is basic there is a set of primitive idempotents  $e_i$  such that  $1 = \sum_{i=1}^n e_i$  and  $Re_i \not\cong Re_j$  for  $i \neq j$ .

Since  $R_G$  is  $QF$ , for all  $e_i$ ,  $\text{Soc}(R_G e_{i_G}) = r(\text{Rad}(R_G))e_{i_G} = r(A_1)e_{i_G}$  (resp.  $\text{Soc}(e_{i_G} R_G) = e_{i_G} l(\text{Rad}(R_G)) = e_{i_G} l(A_1)$ ) is a simple left (resp. right)  $R_G$ -module.

Hence by Proposition 1.1  $r(J)e_i$  (resp.  $e_i l(J)$ ) is a simple left (resp. right)  $R$ -module.

On the other hand it holds that  ${}_R l(J)e_i \simeq {}_R \text{Hom}_R((e_i R / e_i J)_R, {}_R R_R)$  and as is quoted above  ${}_R l(J)e_i$  is simple. Similarly  $e_i r(J)_R \simeq \text{Hom}_R((Re_i / Je_i, {}_R R_R)$  is simple.

Therefore by [6, Theorem 2.1] it holds the duality  $\text{Hom}_R(-, {}_R R_R)$  between the categories of finitely generated left  $R$ -modules and right  $R$ -modules. and hence  $R$  is  $QF$ . Cf. also [5].  $\square$

In Example 2.1 we shall show that both the converses of Corollary 1.2 and Theorem 1.3 do not hold.

Now it needs to give a characterization of  $QF$  ring  $R$  for which the associated graded ring  $R_G$  is  $QF$ .

We say that the series :  $Re = r(J^{\rho+1})e \supset r(J^\rho)e \supset r(J^{\rho-1})e \supset \dots \supset r(J)e \supset r(R)e = 0$  is the lower Loewy series of  $Re$ .

In their book [2] Artin-Nesbitt-Thrall proved that subquotient modules  $J^k e / J^{k+1} e$  and  $r(J^{\rho+1-k})e / r(J^{\rho-k})e$  have non-zero isomorphic constituents for every  $0 \leq k \leq \rho$ . Then we have the following question:

Which kind of rings do satisfy the coincidence of every non-zero isomorphic constituent of  $J^k e / J^{k+1} e$  with  $J^k e / J^{k+1} e$  itself ?

We can provide Proposition 1.4 as an answer to the question.

A positive  $\mathbb{Z}$ -graded ring  $R = A_0 \oplus A_1 \oplus \cdots \oplus A_n$  is called to be standard if  $A_1$  generates the radical of  $R$ .

Then we have

**Proposition 1.4.** *If  $R$  is a standard positive  $\mathbb{Z}$ -graded QF ring, then the upper Loewy series of  $Re$  coincides with the lower Loewy series of  $Re$  for any primitive idempotent  $e$ .*

*Proof.* For a primitive idempotent  $e$  let  $Re = A_0e \oplus A_1e \oplus A_2e \oplus \cdots \oplus A_\rho e$ ,  $\rho \leq n$ , be a grading of  $Re$ . Then  $A_i A_j e \subseteq A_{i+j} e$  and the  $\text{rad}(Re) = A_1e \oplus A_2e \oplus \cdots \oplus A_\rho e$ .

Then from the assumption that  $R$  is QF it holds that  ${}_R l(J)e = r(J)e = \text{Soc}(Re) = J^\rho e = A_\rho e$ .

Assume that  $r(J^s)e = J^{\rho+1-s}e$  for an integer  $s \geq 1$  (as pointed out above this assumption is satisfied for  $s = 1$ ), and suppose that  $r(J^{s+1})e \neq J^{\rho-s}e$  for  $r(J^{s+1})e \supseteq J^{\rho-s}e$ .

Then there is  $0 \neq y = \sum_{l \leq j < \rho-s} y_j \in r(J^{s+1})e$  such that  $0 \neq y_j \in A_j e$ . From  $0 = J^{s+1}y = J^s(Jy)$  it follows  $Jy \in r(J^s)e = J^{\rho+1-s}e = \bigoplus_{\rho+1-s \leq k} A_k e$ .

On the other hand  $Jy = \sum_{j < \rho-s} Jy_j \in \bigoplus_{l \leq j < \rho-s} A_{j+1} e = \bigoplus_{l+1 \leq k < \rho+1-s} A_k e$ .

Hence  $Jy = 0$ . Then  $A_1 y_l = 0$  since  $A_1$  generates  $J$  and  $y_j \in A_j e$  for  $l \leq j < \rho - s$ . This implies  $y_l \in A_\rho e$  and this is a contradiction because  $l \neq \rho$ .

Therefore we conclude that  $r(J^{s+1})e = J^{\rho-s}e$ .

Now by induction on  $s$  we complete the proof.  $\square$

**Corollary 1.5.** *If  $R_G$  is QF then for any primitive idempotent  $e$  the upper Loewy series of  $Re$  and  $eR$  are coincident with the lower Loewy series of  $Re$  and  $eR$  respectively.*

*Proof.* Let  $J^\rho e \neq 0$  but  $J^{\rho+1}e = 0$ . Then by Proposition 1.4 it follows that

$$(\text{Rad}(R_G))^{\rho+1-k} e_G = \text{Soc}^k(R_G e_G) = r((\text{Rad}(R_G))^k) e_G \text{ for } k = 1, 2, \dots, \rho.$$

Now we want to prove that  $J^{\rho+1-k}e = \text{Soc}^k(Re) = r(J^k)e$  for  $k = 1, 2, \dots, \rho$ .

Suppose  $x \in r(J^k)e \setminus J^{\rho+1-k}e$  since  $J^{\rho+1-k}e \subseteq r(J^k)e$ . Let  $j$  be the maximal integer such that  $x \in J^j \setminus J^{j+1}e$ . Then  $j < \rho + 1 - k$ . For  $x + J^{j+1} \in A_j e_G$  it holds that  $A_k(x + J^{j+1}e) = (J^k/J^{k+1})(x + J^{j+1}e) = 0 + J^{j+1+k}e = 0$ . This implies that  $x + J^{j+1}e \in r(A_k)e_G = r(A_1^k)e_G = r((\text{Rad}(R_G))^k)e_G = \text{Rad}(R_G)^{\rho+1-k}e_G = (A_{\rho+1-k} \oplus A_{\rho+2-k} \oplus \cdots)e_G$ . Thus we have  $j \geq \rho + 1 - k$ . But this contradicts to  $j < \rho + 1 - k$ .

We can prove similarly that  $eJ^{\sigma+1-k} = \text{Soc}^k(eR) = e l(J^k)$  for  $k = 1, 2, \dots, \sigma$ , where  $eJ^\sigma \neq 0$  but  $eJ^{\sigma+1} = 0$ . This completes the proof.  $\square$

**Proposition 1.6.** *If  $R$  is QF and for any primitive idempotent  $e$  the upper Loewy series of  $Re$  and  $eR$  are coincident with the lower Loewy series of  $Re$  and  $eR$  respectively, then the associated graded ring  $R_G$  is QF.*

*Proof.* Let  $J^\rho e \neq 0$  but  $J^{\rho+1}e = 0$ . From the coincidence of series of the upper Loewy series and the lower Loewy series of  $Re$  it follows that  $r(J^i)e = \text{Soc}^i({}_R Re) = J^{\rho+1-i}e$ ,  $i = 1, 2, \dots, \rho$ . And especially  $\text{Soc}(Re) = J^\rho e$  is a simple left  $R$ -module since  $R$  is QF.

For  $x \in J^k e \setminus J^{k+1} e$  and  $k \leq \rho - 1$  suppose  $Jx \in J^{k+2} e = Soc^{\{\rho+1-(k+2)\}}(Re)$ . Then  $J^{\{\rho+2-(k+2)\}}x = 0$  and  $x \in r(J^{\rho-k})e = J^{k+1}e$ . But this is a contradiction.

Therefore if  $k \leq \rho - 1$  and if  $x + J^{k+1}e \neq 0 \in A_k e_G = (J^k/J^{k+1})e_G$  it holds that  $0 \neq A_1(x + J^{k+1}e) \in A_{k+1}e_G$ . Therefore  $Soc(R_G e_G) = r(Rad(R_G))e_G \subseteq J^\rho e = Rad(R_G)^\rho e_G$ .

As  $r(Rad(R_G))e_G \supseteq Rad(R_G)^\rho e_G$  we have  $Soc(R_G e_G) = r(Rad(R_G))e_G = J^\rho e$ , which can be considered as a simple left  $R_G$ -module because  $J^\rho e$  is a simple left  $R$ -module.

Now it is clear that  $Hom_{R_G}(e_G R_G / e_G Rad(R_G), {}_{R_G} R_{G R_G}) \simeq r(Rad(R_G))e_G$ . This implies that the dual module  $Hom_{R_G}(e_G R_G / e_G Rad(R_G), {}_{R_G} R_{G R_G})$  of a simple right  $R_G$ -module  $e_G R_G / e_G Rad(R_G)$  is a simple left  $R_G$ -module.

Similarly we have that the dual module  $Hom_{R_G}({}_{R_G} R_{G R_G} / Rad(R_G)e_G, {}_{R_G} R_{G R_G})$  of a simple left  $R_G$ -module  ${}_{R_G} R_{G R_G} / Rad(R_G)e_G$  is a simple right  $R_G$ -module.

Therefore by [6, Theorem 2.1] it holds the duality  $Hom_{R_G}(-, {}_{R_G} R_{G R_G})$  between the categories of finitely generated left  $R_G$ -modules and finitely generated right  $R_G$ -modules. Hence  $R_G$  is  $QF$ .  $\square$

Now by Propositions 1.5 and 1.6 we have the following characterization of  $QF$  associated graded rings:

**Theorem 1.7.** *The following conditions (i), (ii) and (iii) are equivalent to each other:*

- (i) *The associated graded ring  $R_G$  is  $QF$ ,*
- (ii)  *$R$  is  $QF$  and for any primitive idempotent  $e$  the upper Loewy series of  $Re$  and  $eR$  are coincident with the lower Loewy series of  $Re$  and  $eR$  respectively,*
- (iii)  *$R$  is  $QF$  and for any primitive idempotent  $e_i$  and integer  $0 \leq k \leq \rho_i$  it holds that  ${}_R J^k e_i / J^{k+1} e_i \simeq {}_R Hom_R(e_i J^{\rho-k} / e_i J^{\rho-k+1}, {}_R R_R)$  (resp.  $e_i J^k / e_i J^{k+1} \simeq Hom_R({}_R J^{\sigma-k} e_i / J^{\sigma-k+1} e_i, {}_R R_R)$ ), where  $J^{\rho_i} e_i \neq 0$  but  $J^{\rho_i+1} e_i = 0$  (resp.  $e_i J^{\sigma_i} \neq 0$  but  $e_i J^{\sigma_i+1} = 0$ ).*

Let  $\pi$  be a Nakayama permutation of  $QF$  ring  $R$  on the set of all non isomorphic primitive idempotents  $e_i$ ,  $i = 1, 2, \dots, n$ .

Then it holds that  ${}_R Re_{\pi(j)} / J e_{\pi(j)} \simeq {}_R Hom_R(e_j R / e_j J, {}_R R_R)$ .

**Corollary 1.8.**  *$R_G$  is  $QF$  if and only if  $R$  is  $QF$  and for any primitive idempotent  $e_i$  it holds that  ${}_R J^k e_i / J^{k+1} e_i \simeq \bigoplus_j^n n_{i,j} \times Re_{\pi(j)} / J e_{\pi(j)}$  for a direct sum decomposition:  $e_i J^{\rho-k} / e_i J^{\rho-k+1} \simeq \bigoplus_j^n n_{i,j} \times e_j R / e_j J$ , where  $n_{i,j} \times e_j R / e_j J$  means the direct sum of  $n_{i,j}$  copies of  $e_j R / e_j J$ .*

As indecomposable commutative algebras are local, for them there are no difference between  $QF$ -2,  $QF$ -3 and  $QF$  rings. Hence Theorem 1.7 and Corollary 1.8 are considered to be results for non commutative rings, though Theorem 1.7 seems to be a generalization of Iarrobino's result [4; Proposition 1.7] for 0-dimensional Gorenstein algebras.

## 2. EXAMPLES

**Example 2.1.** (i) Let  $R$  be an algebra over a field  $K$  defined by the following quiver.

$$\begin{array}{ccc}
 & & x^3 = vu, \\
 x & & 0 = uv, \\
 & \searrow & 0 = xv, \\
 & \parallel & 0 = ux. \\
 u & \swarrow & \\
 & & v
 \end{array}$$

Then the  $K$ -bases :  $R = \{e_1, x, x^2, x^3, u; e_2, v\}$ ,  $J = \text{Rad}(R) = \{x, x^2, x^3, u; v\}$ ,  $J^2 = \{x^2, x^3\}$ ,  $J^3 = \{x^3\}$  and  $J^4 = \{0\}$ . By  $0 \neq x^3 = vu$  and  $0 = uv$ ,  $R$  is not commutative. As  $r(J)e_1 = Kx^3 \simeq Re_1/Je_1$  and  $r(J)e_2 = Kv \simeq Re_1/Je_1$ ,  $R$  is left  $QF$ -2.

It happens however that  $(v + J^2)(u + J^2) = vu + J^3 = 0 \in G(R)$  for the contrary  $vu = x^3 \neq 0 \in R$ . Then  $r(\text{rad}(G(R)))e_1 = K(u + J^2) + K(x^3 + J^4) \simeq G(R)e_2/\text{Rad}(G(R))e_2 \oplus G(R)e_1/\text{Rad}(G(R))e_1$  is not simple. Hence  $G(R)$  is not left  $QF$ -2.

This shows that the converse of Proposition 1.1 does not hold.

The next example (ii) shows that the converse of Theorem 1.3 does not hold.

(ii)

$$\begin{array}{ccc}
 & & x^3 = vu, \\
 x & & y^2 = uv, \\
 & \searrow & 0 = xv, \\
 & \parallel & 0 = ux, \\
 u & \swarrow & 0 = vy, \\
 & & 0 = yu. \\
 & & y
 \end{array}$$

Then the  $K$ -bases =  $\{e_1, x, x^2, x^3, u, e_2, y, v\}$ , where  $e_1$  and  $e_2$  are primitive idempotents.

By  $x^3 = vu$  and  $y^2 = uv$ ,  $R$  is not commutative.  $J = \text{Rad}(R) = \{x, x^2, x^3, u; y, y^2, v\}$ ,  $J^2 = \{x^2, x^3, y^2\}$ ,  $J^3 = \{x^3\}$ ,  $J^4 = 0$ .  $r(J) = \{x^3, y^2\} = l(J)$ ,  $r(J)e_1 = Kx^3 \simeq Re_1/Je_1$  and  $r(J)e_2 = Ky^2 \simeq Re_2/Je_2$ . Hence  $R$  is  $QF$ .

As  $(v + J^2)(u + J^2) = 0 + J^3$  and  $(y + J^2)(u + J^2) = 0 + J^3$ ,  $\text{Soc}(R_G(e_1)_G) = K(u + J^2) + K(x^3 + J^3) \simeq R_G(e_2)_G/\text{Rad}(R_G)(e_2)_G \oplus R_G(e_1)/\text{Rad}(R_G)(e_1)_G$ . Hence  $\text{Soc}(R_G(e_1)_G)$  is not simple. Therefore  $R_G$  is not  $QF$ .

We know that the upper Loewy series of  $Re_1$  and  $Re_2$  are  $(1, 1+2, 1, 1)$  and  $(2, 1+2, 2)$  respectively. On the other hand lower Loewy series of  $Re_1$  and  $Re_2$  are  $(1, 1, 2+1, 1)$  and  $(2, 1+2, 2)$  respectively. From Theorem 1.7 it follows also that  $R_G$  is not  $QF$ .

**Example 2.2.** Let  $\Lambda$  be a quotient ring  $K[x_0, x_1, \dots, x_n]/I$  such that the ideal  $I$  are generated by  $n+1$  polynomials  $x_i^t - \frac{1}{x_i} \prod_{j=0}^n x_j$ ,  $i = 0, 1, \dots, n$ , for the pairs  $(t, n)$ .

In case of  $t \neq n$ , for  $\min\{n, t\} \leq |t - n|s < \max\{n, t\}$  there is an idempotent  $e \equiv \prod_{i=0}^n x_i^{|t-n|s} \pmod I$  of  $\Lambda$  and  $\Gamma = (1-e)\Lambda \simeq K[x_0, x_1, \dots, x_n]/(\prod_{i=0}^n x_i^{|t-n|s}, I)$  is an Artinian local algebra. Cf.[12] and Kikumasa-Yoshimura [6].

Let us denote the associated graded algebra  $\Gamma_G = A_0 \oplus A_1 \cdots \oplus A_m$ . Then if  $t > n$ ,  $m = (t+1)(n-1)$  and  $\dim_K(A_k) = \#\{(d_0, d_1, \dots, d_i, \dots, d_j, \dots, d_n) \mid \sum_{l=0}^n d_l = k, 0 \leq d_l \leq t+1 \text{ and } d_i = d_j = 0 \text{ for } i \neq j\}$ . Hence  $\dim_K A_k = \dim_K A_{m-k}$ . It follows by Corollary 1.8 that  $\Gamma_G$  (and hence by Theorem 1.3  $\Gamma$ ) is  $QF$ .

If  $t < n$ ,  $m = (n+1)(t-1)$  and  $\dim_K(A_k) = \#\{(d_0, d_1, \dots, d_n) \mid \sum_{l=0}^n d_l = k, 0 \leq d_l \leq t-1\}$ . Hence we have similarly  $\dim_K A_k = \dim_K A_{m-k}$  and  $\Gamma_G$  (and hence  $\Gamma$ ) is  $QF$ .

Now we can extend our consideration for  $\Lambda$  to the case of  $n = t$ . Then as  $\frac{1}{x_i} \prod_{j=0}^n x_j \equiv x_i^n \pmod I$  for  $i = 1, 2, \dots, n$ ,  $\Lambda$  is a positive  $\mathbb{Z}$ -graded with respect to homogeneous elements  $\bar{x}_0, \bar{x}_1, \dots, \bar{x}_n$  of degree 1. If we put  $u = \prod_{i=1}^n \bar{x}_i$ , then  $K[u]$  is a subalgebra of  $\Lambda$ , which is a polynomial ring over  $K$  of a variable  $u$ . Further all  $\bar{x}_i$ 's satisfy the equation  $X^{n+1} - u = 0 \in K[u][X]$ . Hence by Noether's normalization theorem the Krull dimension of  $\Lambda = 1$ .

Let  $t = n = 1$ , then the generators of  $I$  are formally  $\{x_0 - \frac{1}{x_0}x_0x_1 = x_0 - x_1, x_1 - \frac{1}{x_1}x_0x_1 = x_1 - x_0\} = \{x_0 - x_1\}$  and  $\Lambda$  is a polynomial ring of one variable and is obviously Gorenstein.

Let  $t = n = 2$ . Then  $\{f_2 = x_0x_1 - x_2^2, f_0 = x_1x_2 - x_0^2, f_1 = x_2x_0 - x_1^2\}$  defines an intersection of quadratic cones. In [9] Stanley commented that the following Theorem 2.1 was proved first by Macauley.

**Theorem 2.1.** *If a  $K$ -algebra  $\Lambda$  is standard positively  $\mathbb{Z}$ -graded and Gorenstein of Krull dimension  $d$ , then for Poincaré series  $F(\Lambda, \lambda)$  it holds that  $F(\Lambda, \frac{1}{\lambda}) = (-1)^d \lambda^\rho F(\Lambda, \lambda)$  (as rational functions of  $\lambda$ ) for some integer  $\rho$ .*

By the Buchberger's algorithm we obtain the reduced Gröbner bases  $\{f_0, f_1, f_2, f_3 = S(f_0, f_1) = -x_0^3 - x_1^3\}$  of  $I$  with respect to the degree-lexicographical order  $x_0 < x_1 < x_2$ .

As the leading terms are  $Lt(f_0) = x_0^0x_1^1x_2^1, Lt(f_1) = x_0^1x_1^0x_2^1, Lt(f_2) = x_0^0x_1^0x_2^2, Lt(f_3) = x_0^0x_1^3x_2^0$  it holds  $\alpha_1 < 3, \alpha_2 < 2$  for the standard bases  $\bar{x}_0 \bar{x}_1 \bar{x}_2 \in \Lambda = K[x_0, x_1, x_2]/I$ .

Therefore we know that

$$\{\bar{1}, \bar{x}_0, \bar{x}_0^{\underline{2}}, \bar{x}_0^{\underline{3}}, \dots, \bar{x}_1, \bar{x}_0\bar{x}_1, \bar{x}_0^{\underline{2}}\bar{x}_1, \bar{x}_0^{\underline{3}}\bar{x}_1, \dots, \bar{x}_1, \bar{x}_0\bar{x}_1, \bar{x}_0^{\underline{2}}\bar{x}_1, \bar{x}_0^{\underline{3}}\bar{x}_1, \dots, \bar{x}_2\}$$

are the  $K$ -bases of  $\Lambda$ . Cf. [1:Theorem 1.7.4 and Proposition 2.1.6].

$$A_0 = K \bar{1}, A_1 = K \bar{x}_0 + K \bar{x}_1 + K \bar{x}_2, A_2 = K \bar{x}_0^{\underline{2}} + K \bar{x}_1\bar{x}_0 + K \bar{x}_1^{\underline{2}},$$

$$A_n = K \bar{x}_0^{\underline{n}} + K \bar{x}_1\bar{x}_0^{\underline{n-1}} + K \bar{x}_1^{\underline{2}}\bar{x}_0^{\underline{n-2}} \text{ for } n \geq 3,$$

are  $\mathbb{Z}^+ \cup \{0\}$ -grading of  $\Lambda$  and the set of homogeneous generators is  $\{\bar{x}_0, \bar{x}_1, \bar{x}_2\}$  with degree 1.

Thus the Poincaré series  $F(\Lambda, \lambda) = 1 + \sum_{n=1}^{\infty} 3\lambda^n = \frac{3}{1-\lambda} - 2 = \frac{2\lambda+1}{1-\lambda}$ .

Now there is no  $\rho$  which satisfies  $(-1)^1 \lambda^\rho F(\Lambda, \lambda) = (-1)\lambda^\rho \frac{2\lambda+1}{(1-\lambda)} = \frac{\lambda+2}{(\lambda-1)} = \frac{2\frac{1}{\lambda}+1}{1-\frac{1}{\lambda}} = F(\Lambda, \frac{1}{\lambda})$ . Therefore by Theorem 2.1  $\Lambda$  is not Gorenstein.

By the way we notice that in this case  $\Lambda$  is Cohen-Macaulay because

$\Lambda = K[\bar{x}_0] \oplus K[\bar{x}_0] \bar{x}_1 \oplus K[\bar{x}_0] \bar{x}_2$  is  $K[\bar{x}_0]$ -free module. Here we notice that  $K[\bar{x}_0] \bar{x}_1 \subset K[\bar{x}_0] \bar{x}_2$  by  $x_0 x_2 \equiv x_1^2 \pmod{I}$ .

This arises a new question whether  $\Lambda$  is Cohen-Macaulay.

In order to answer the question let us consider  $\Lambda$  for  $n = t = 3$ . In this case the binomials  $\{f_3 = x_0 x_1 x_2 - x_3^3, f_0 = x_1 x_2 x_3 - x_0^3, f_1 = x_2 x_3 x_0 - x_1^3, f_2 = x_3 x_0 x_1 - x_2^3\}$  generates  $I$  and by using the Buchberger's algorithm we obtain the following Gröbner bases

$Gr = \{f_0, f_1, f_2, f_3, f_4 = S(f_0, f_1) = x_0^4 - x_1^4, f_5 = S(f_1, f_2) = x_1^4 - x_2^4, f_6 = S(f_0, f_3) = x_0 x_1^2 x_2^2 - x_0^3 x_3^2, f_7 = S(f_1, f_3) = x_0^2 x_1 x_2^2 - x_1^3 x_3^2, f_8 = S(f_2, f_3) = x_0^2 x_1^2 x_2 - x_2^3 x_3^2, f_9 = S(f_2, S(f_0, f_1)) = x_1^3 x_2^3 - x_0^5 x_3\}$  of  $I$  and the leading terms  $\{Lt(f_0) = x_1 x_2 x_3, Lt(f_1) = x_2 x_3 x_0, Lt(f_2) = x_3 x_0 x_1, Lt(f_3) = x_3^3, Lt(f_4) = x_1^4, Lt(f_5) = x_2^4, Lt(f_6) = x_0^3 x_3^2, Lt(f_7) = x_1^3 x_3^2, Lt(f_8) = x_2^3 x_3^2, Lt(f_9) = x_0^5 x_3\}$  with respect to the degree-lexicographical order  $x_0 < x_1 < x_2 < x_3$ .

Now there is no positive integer  $n$  such that  $x_0^n \xrightarrow{Gr} 0$ . Therefore  $K[\bar{x}_0]$  is a polynomial ring in the variable  $\bar{x}_0$ . Further  $f_6 = x_0 x_1^2 x_2^2 - x_0^3 x_3^2 = x_0(x_1^2 x_2^2 - x_0^2 x_3^2) \in I$  and  $(x_1^2 x_2^2 - x_0^2 x_3^2) \notin I$  because the terms  $x_1^2 x_2^2$  and  $x_0^2 x_3^2$  are not reduced by any Gröbner base. Hence  $\bar{x}_0 \begin{pmatrix} -2 & -2 \\ -2 & -2 \end{pmatrix} \begin{pmatrix} - \\ - \end{pmatrix} (x_1 x_2 - x_0 x_3) = 0$ , but  $\begin{pmatrix} -2 & -2 \\ -2 & -2 \end{pmatrix} \begin{pmatrix} - \\ - \end{pmatrix} (x_1 x_2 - x_0 x_3) \neq 0$ .

Hence  $\Lambda$  is not a  $K[\bar{x}_0]$ -free module. This implies  $\Lambda$  is not Cohen-Macaulay.

As all generators of  $I$  are binomials,  $\Lambda$  may be a toric variety. Cf. [10].

Toric varieties are defined to be Noetherian integral domains. However as we prove just now  $\Lambda$  has a zero divisor we cannot expect that  $\Lambda$  is toric.

**Proposition 2.2.** *If  $n = t = 3$ , then  $\Lambda$  is neither Cohen-Macaulay nor toric. Of course  $\Lambda$  is not Gorenstein.*

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