

# THE ARTINIAN CONJECTURE (FOLLOWING DJAMENT, PUTMAN, SAM, AND SNOWDEN)

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ABSTRACT. This note provides a self-contained exposition of the proof of the artinian conjecture, following closely Djament’s Bourbaki lecture. The original proof is due to Putman, Sam, and Snowden.

## 1. INTRODUCTION

This note provides a complete proof of the celebrated artinian conjecture. The proof is due to Putman, Sam, and Snowden [6, 7]. Here, we follow closely the elegant exposition of Djament in [3]. For the origin of the conjecture and its consequences, we refer to those papers and Djament’s Bourbaki lecture [4]. In addition, the expository articles by Kuhn, Powell and Schwartz in [5] are recommended.

There are two main result. Fix a locally noetherian Grothendieck abelian category  $\mathcal{A}$ , for instance, the category of modules over a noetherian ring.

**Theorem 1.1.** *Let  $A$  be a ring whose underlying set is finite. For the category  $\mathcal{P}(A)$  of free  $A$ -modules of finite rank, the functor category  $\text{Fun}(\mathcal{P}(A)^{\text{op}}, \mathcal{A})$  is locally noetherian.*

This result amounts to the assertion of the artinian conjecture when  $A$  is a finite field and  $\mathcal{A}$  is the category of  $A$ -modules.

The first theorem is a direct consequence of the following.

**Theorem 1.2.** *For the category  $\Gamma$  of finite sets, the functor category  $\text{Fun}(\Gamma^{\text{op}}, \mathcal{A})$  is locally noetherian.*

The basic idea for the proof is to formulate finiteness conditions on an essentially small category  $\mathcal{C}$  such that  $\text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{A})$  is locally noetherian. This leads to the notion of a Gröbner category. Such finiteness conditions have a ‘direction’. For that reason we consider contravariant functors  $\mathcal{C} \rightarrow \mathcal{A}$ , because then the direction is preserved (via Yoneda’s lemma) when one passes from  $\mathcal{C}$  to  $\text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{A})$ .

## 2. NOETHERIAN POSETS

Let  $\mathcal{C}$  be a poset. A subset  $\mathcal{D} \subseteq \mathcal{C}$  is a *sieve* if the conditions  $x \leq y$  in  $\mathcal{C}$  and  $y \in \mathcal{D}$  imply  $x \in \mathcal{D}$ . The sieves in  $\mathcal{C}$  are partially ordered by inclusion.

**Definition 2.1.** A poset  $\mathcal{C}$  is called

- (1) *noetherian* if every ascending chain of elements in  $\mathcal{C}$  stabilises, and
- (2) *strongly noetherian* if every ascending chain of sieves in  $\mathcal{C}$  stabilises.

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The paper is in a final form and no version of it will be submitted for publication elsewhere.

For a poset  $\mathcal{C}$  and  $x \in \mathcal{C}$ , set  $\mathcal{C}(x) = \{t \in \mathcal{C} \mid t \leq x\}$ . The assignment  $x \mapsto \mathcal{C}(x)$  yields an embedding of  $\mathcal{C}$  into the poset of sieves in  $\mathcal{C}$ .

**Lemma 2.2.** *For a poset  $\mathcal{C}$  the following are equivalent:*

- (1) *The poset  $\mathcal{C}$  is strongly noetherian.*
- (2) *For every infinite sequence  $(x_i)_{i \in \mathbb{N}}$  of elements in  $\mathcal{C}$  there exists  $i \in \mathbb{N}$  such that  $x_j \leq x_i$  for infinitely many  $j \in \mathbb{N}$ .*
- (3) *For every infinite sequence  $(x_i)_{i \in \mathbb{N}}$  of elements in  $\mathcal{C}$  there is a map  $\alpha: \mathbb{N} \rightarrow \mathbb{N}$  such that  $i < j$  implies  $\alpha(i) < \alpha(j)$  and  $x_{\alpha(j)} \leq x_{\alpha(i)}$ .*
- (4) *For every infinite sequence  $(x_i)_{i \in \mathbb{N}}$  of elements in  $\mathcal{C}$  there are  $i < j$  in  $\mathbb{N}$  such that  $x_j \leq x_i$ .*

*Proof.* (1)  $\Rightarrow$  (2): Suppose that  $\mathcal{C}$  is strongly noetherian and let  $(x_i)_{i \in \mathbb{N}}$  be elements in  $\mathcal{C}$ . For  $n \in \mathbb{N}$  set  $\mathcal{C}_n = \bigcup_{i \leq n} \mathcal{C}(x_i)$ . The chain  $(\mathcal{C}_n)_{n \in \mathbb{N}}$  stabilises, say  $\mathcal{C}_n = \mathcal{C}_N$  for all  $n \geq N$ . Thus there exists  $i \leq N$  such that  $x_j \leq x_i$  for infinitely many  $j \in \mathbb{N}$ .

(2)  $\Rightarrow$  (3): Define  $\alpha: \mathbb{N} \rightarrow \mathbb{N}$  recursively by taking for  $\alpha(0)$  the smallest  $i \in \mathbb{N}$  such that  $x_j \leq x_i$  for infinitely many  $j \in \mathbb{N}$ . For  $n > 0$  set

$$\alpha(n) = \min\{i > \alpha(n-1) \mid x_j \leq x_i \leq x_{\alpha(n-1)} \text{ for infinitely many } j \in \mathbb{N}\}.$$

(3)  $\Rightarrow$  (4): Clear.

(4)  $\Rightarrow$  (1): Suppose there is a properly ascending chain  $(\mathcal{C}_n)_{n \in \mathbb{N}}$  of sieves in  $\mathcal{C}$ . Choose  $x_n \in \mathcal{C}_{n+1} \setminus \mathcal{C}_n$  for each  $n \in \mathbb{N}$ . There are  $i < j$  in  $\mathbb{N}$  such that  $x_j \leq x_i$ . This implies  $x_j \in \mathcal{C}_{i+1} \subseteq \mathcal{C}_j$  which is a contradiction.  $\square$

### 3. FUNCTOR CATEGORIES

Let  $\mathcal{C}$  be an essentially small category and  $\mathcal{A}$  a Grothendieck abelian category. We denote by  $\text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{A})$  the category of functors  $\mathcal{C}^{\text{op}} \rightarrow \mathcal{A}$ . The morphisms between two functors are the natural transformations. Note that  $\text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{A})$  is a Grothendieck abelian category.

Given an object  $x \in \mathcal{C}$ , the evaluation functor

$$\text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{A}) \longrightarrow \mathcal{A}, \quad F \mapsto F(x)$$

admits a left adjoint

$$\mathcal{A} \longrightarrow \text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{A}), \quad M \mapsto M[\mathcal{C}(-, x)]$$

where for any set  $X$  we denote by  $M[X]$  a coproduct of copies of  $M$  indexed by the elements of  $X$ . Thus we have a natural isomorphism

$$(3.1) \quad \text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{A})(M[\mathcal{C}(-, x)], F) \cong \mathcal{A}(M, F(x)).$$

**Lemma 3.1.** *If  $(M_i)_{i \in I}$  is a set of generators of  $\mathcal{A}$ , then the functors  $M_i[\mathcal{C}(-, x)]$  with  $i \in I$  and  $x \in \mathcal{C}$  generate  $\text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{A})$ .*

*Proof.* Use the adjointness isomorphism (3.1).  $\square$

A Grothendieck abelian category  $\mathcal{A}$  is *locally noetherian* if  $\mathcal{A}$  has a generating set of noetherian objects. In that case an object  $M \in \mathcal{A}$  is noetherian iff  $M$  is *finitely presented* (that is, the representable functor  $\mathcal{A}(M, -)$  preserves filtered colimits); see [8, Chap. V] for details.

**Lemma 3.2.** *Let  $\mathcal{A}$  be locally noetherian. Then  $\text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{A})$  is locally noetherian iff  $M[\mathcal{C}(-, x)]$  is noetherian for every noetherian  $M \in \mathcal{A}$  and  $x \in \mathcal{C}$ .*

*Proof.* First observe that  $M[\mathcal{C}(-, x)]$  is finitely presented if  $M$  is finitely presented. This follows from the isomorphism (3.1) since evaluation at  $x \in \mathcal{C}$  preserves colimits. Now the assertion of the lemma is an immediate consequence of Lemma 3.1.  $\square$

#### 4. NOETHERIAN FUNCTORS

Let  $\mathcal{C}$  be an essentially small category and fix an object  $x \in \mathcal{C}$ . Set

$$\mathcal{C}(x) = \bigsqcup_{t \in \mathcal{C}} \mathcal{C}(t, x).$$

Given  $f, g \in \mathcal{C}(x)$ , let  $\langle f \rangle$  denote the set of morphisms in  $\mathcal{C}(x)$  that factor through  $f$ , and set  $f \leq_x g$  if  $\langle f \rangle \subseteq \langle g \rangle$ . We identify  $f$  and  $g$  when  $\langle f \rangle = \langle g \rangle$ . This yields a poset which we denote by  $\bar{\mathcal{C}}(x)$ .

A functor is *noetherian* if every ascending chain of subfunctors stabilises.

**Lemma 4.1.** *The functor  $\mathcal{C}(-, x): \mathcal{C}^{\text{op}} \rightarrow \text{Set}$  is noetherian iff the poset  $\bar{\mathcal{C}}(x)$  is strongly noetherian.*

*Proof.* Sending  $F \subseteq \mathcal{C}(-, x)$  to  $\bigcup_{t \in \mathcal{C}} F(t)$  induces an inclusion preserving bijection between the subfunctors of  $\mathcal{C}(-, x)$  and the sieves in  $\bar{\mathcal{C}}(x)$ .  $\square$

For a poset  $\mathcal{T}$  let  $\text{Set} \wr \mathcal{T}$  denote the category consisting of pairs  $(X, \xi)$  such that  $X$  is a set and  $\xi: X \rightarrow \mathcal{T}$  is a map. A morphism  $(X, \xi) \rightarrow (X', \xi')$  is a map  $f: X \rightarrow X'$  such that  $\xi(a) \leq \xi' f(a)$  for all  $a \in X$ .

A functor  $\mathcal{C}^{\text{op}} \rightarrow \text{Set} \wr \mathcal{T}$  is given by a pair  $(F, \phi)$  consisting of a functor  $F: \mathcal{C}^{\text{op}} \rightarrow \text{Set}$  and a map  $\phi: \bigsqcup_{t \in \mathcal{C}} F(t) \rightarrow \mathcal{T}$  such that  $\phi(a) \leq \phi(F(f)(a))$  for every  $a \in F(t)$  and  $f: t' \rightarrow t$  in  $\mathcal{C}$ .

**Lemma 4.2.** *Let  $\mathcal{T}$  be a noetherian poset. If  $\mathcal{C}(-, x)$  is noetherian, then any functor  $(\mathcal{C}(-, x), \phi): \mathcal{C}^{\text{op}} \rightarrow \text{Set} \wr \mathcal{T}$  is noetherian.*

*Proof.* Let  $(F_n, \phi_n)_{n \in \mathbb{N}}$  be a strictly ascending chain of subfunctors of  $(F, \phi)$ . The chain  $(F_n)_{n \in \mathbb{N}}$  stabilises since  $\mathcal{C}(-, x)$  is noetherian. Thus we may assume that  $F_n = F$  for all  $n \in \mathbb{N}$ , and we find  $f_n \in \bigsqcup_{t \in \mathcal{C}} F(t)$  such that  $\phi_n(f_n) < \phi_{n+1}(f_n)$ . The poset  $\bar{\mathcal{C}}(x)$  is strongly noetherian by Lemma 4.1. It follows from Lemma 2.2 that there is a map  $\alpha: \mathbb{N} \rightarrow \mathbb{N}$  such that  $i < j$  implies  $\alpha(i) < \alpha(j)$  and  $f_{\alpha(j)} \leq_x f_{\alpha(i)}$ . Thus

$$\phi_{\alpha(n)}(f_{\alpha(n)}) < \phi_{\alpha(n)+1}(f_{\alpha(n)}) \leq \phi_{\alpha(n+1)}(f_{\alpha(n)}) \leq \phi_{\alpha(n+1)}(f_{\alpha(n+1)}).$$

This yields a strictly ascending chain in  $\mathcal{T}$ , contradicting the assumption on  $\mathcal{T}$ .  $\square$

**Definition 4.3.** A partial order  $\leq$  on  $\mathcal{C}(x)$  is *admissible* if the following holds:

- (1) The order  $\leq$  restricted to  $\mathcal{C}(t, x)$  is total and noetherian for every  $t \in \mathcal{C}$ .
- (2) For  $f, f' \in \mathcal{C}(t, x)$  and  $e \in \mathcal{C}(s, t)$ , the condition  $f < f'$  implies  $fe < f'e$ .

Fix an admissible partial order  $\leq$  on  $\mathcal{C}(x)$  and an object  $M$  in a Grothendieck abelian category  $\mathcal{A}$ . Let  $\text{Sub}(M)$  denote the poset of subobjects of  $M$  and consider the functor

$$\mathcal{C}(-, x) \wr M: \mathcal{C}^{\text{op}} \longrightarrow \text{Set} \wr \text{Sub}(M), \quad t \mapsto (\mathcal{C}(t, x), (M)_{f \in \mathcal{C}(t, x)}).$$

For a subfunctor  $F \subseteq M[\mathcal{C}(-, x)]$  define a subfunctor  $\tilde{F} \subseteq \mathcal{C}(-, x) \wr M$  as follows:

$$\tilde{F}: \mathcal{C}^{\text{op}} \longrightarrow \text{Set} \wr \text{Sub}(M), \quad t \mapsto \left( \mathcal{C}(t, x), \left( \pi_f(M[\mathcal{C}(t, x)_f] \cap F(t)) \right)_{f \in \mathcal{C}(t, x)} \right)$$

where  $\mathcal{C}(t, x)_f = \{g \in \mathcal{C}(t, x) \mid f \leq g\}$  and  $\pi_f: M[\mathcal{C}(t, x)_f] \rightarrow M$  is the projection onto the factor corresponding to  $f$ . For a morphism  $e: t' \rightarrow t$  in  $\mathcal{C}$ , the morphism  $\tilde{F}(e)$  is induced by precomposition with  $e$ . Note that

$$\pi_f(M[\mathcal{C}(t, x)_f] \cap F(t)) \subseteq \pi_{fe}(M[\mathcal{C}(t', x)_{fe}] \cap F(t'))$$

since  $\leq$  is compatible with the composition in  $\mathcal{C}$ .

**Lemma 4.4.** *Suppose there is an admissible partial order on  $\mathcal{C}(x)$ . Then the assignment which sends a subfunctor  $F \subseteq M[\mathcal{C}(-, x)]$  to  $\tilde{F}$  preserves proper inclusions. Therefore  $M[\mathcal{C}(-, x)]$  is noetherian provided that  $\mathcal{C}(-, x) \wr M$  is noetherian.*

*Proof.* Let  $F \subseteq G \subseteq M[\mathcal{C}(-, x)]$ . Then  $\tilde{F} \subseteq \tilde{G}$ . Now suppose that  $F \neq G$ . Thus there exists  $t \in \mathcal{C}$  such that  $F(t) \neq G(t)$ . We have  $\mathcal{C}(t, x) = \bigcup_{f \in \mathcal{C}(t, x)} \mathcal{C}(t, x)_f$ , and this union is directed since  $\leq$  is total. Thus

$$F(t) = \sum_{f \in \mathcal{C}(t, x)} (M[\mathcal{C}(t, x)_f] \cap F(t))$$

since filtered colimits in  $\mathcal{A}$  are exact. This yields  $f$  such that

$$M[\mathcal{C}(t, x)_f] \cap F(t) \neq M[\mathcal{C}(t, x)_f] \cap G(t).$$

Choose  $f \in \mathcal{C}(t, x)$  maximal with respect to this property, using that  $\leq$  is noetherian. Now observe that the projection  $\pi_f$  induces an exact sequence

$$0 \longrightarrow \sum_{f < g} (M[\mathcal{C}(t, x)_g] \cap F(t)) \longrightarrow F(t) \longrightarrow \pi_f(M[\mathcal{C}(t, x)_f] \cap F(t)) \longrightarrow 0$$

since the kernel of  $\pi_f$  equals the directed union  $\sum_{f < g} M[\mathcal{C}(t, x)_g]$ . For the directedness one uses again that  $\leq$  is total. Thus

$$\pi_f(M[\mathcal{C}(t, x)_f] \cap F(t)) \neq \pi_f(M[\mathcal{C}(t, x)_f] \cap G(t))$$

and therefore  $\tilde{F} \neq \tilde{G}$ . □

**Proposition 4.5.** *Let  $x \in \mathcal{C}$ . Suppose that  $\mathcal{C}(-, x)$  is noetherian and that  $\mathcal{C}(x)$  has an admissible partial order. If  $M \in \mathcal{A}$  is noetherian, then  $M[\mathcal{C}(-, x)]$  is noetherian.*

*Proof.* Combine Lemmas 4.2 and 4.4. □

## 5. GRÖBNER CATEGORIES

**Definition 5.1.** An essentially small category  $\mathcal{C}$  is a *Gröbner category* if the following holds:

- (1) The functor  $\mathcal{C}(-, x)$  is noetherian for every  $x \in \mathcal{C}$ .
- (2) There is an admissible partial order on  $\mathcal{C}(x)$  for every  $x \in \mathcal{C}$ .

**Theorem 5.2.** *Let  $\mathcal{C}$  be a Gröbner category and  $\mathcal{A}$  a Grothendieck abelian category. If  $\mathcal{A}$  is locally noetherian, then  $\text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{A})$  is locally noetherian.*

*Proof.* Combine Lemma 3.1 and Proposition 4.5.  $\square$

**Example 5.3.** (1) A strongly noetherian poset (viewed as a category) is a Gröbner category.

(2) The additive monoid  $\mathbb{N}$  of natural numbers (viewed as a category with a single object) is a Gröbner category. Let  $\mathcal{A}$  be the module category of a noetherian ring  $A$ . Then  $\text{Fun}(\mathbb{N}^{\text{op}}, \mathcal{A})$  equals the module category of the polynomial ring in one variable over  $A$ . Thus Theorem 5.2 generalises Hilbert's Basis Theorem.

## 6. BASE CHANGE

Given functors  $F, G: \mathcal{C}^{\text{op}} \rightarrow \text{Set}$ , we write  $F \rightsquigarrow G$  if there is a finite chain

$$F = F_0 \twoheadrightarrow F_1 \hookleftarrow F_2 \twoheadrightarrow \cdots \twoheadrightarrow F_{n-1} \hookleftarrow F_n = G$$

of epimorphisms and monomorphisms of functors  $\mathcal{C}^{\text{op}} \rightarrow \text{Set}$ .

**Definition 6.1.** A functor  $\phi: \mathcal{C} \rightarrow \mathcal{D}$  is *contravariantly finite*<sup>1</sup> if the following holds:

- (1) Every object  $y \in \mathcal{D}$  is isomorphic to  $\phi(x)$  for some  $x \in \mathcal{C}$ .
- (2) For every object  $y \in \mathcal{D}$  there are objects  $x_1, \dots, x_n$  in  $\mathcal{C}$  such that

$$\bigsqcup_{i=1}^n \mathcal{C}(-, x_i) \rightsquigarrow \mathcal{D}(\phi-, y).$$

The functor  $\phi$  is *covariantly finite* if  $\phi^{\text{op}}: \mathcal{C}^{\text{op}} \rightarrow \mathcal{D}^{\text{op}}$  is contravariantly finite.

Note that a composite of contravariantly finite functors is contravariantly finite.

**Lemma 6.2.** *Let  $f: \mathcal{C} \rightarrow \mathcal{D}$  be a contravariantly finite functor and  $\mathcal{A}$  a Grothendieck abelian category. Fix  $M \in \mathcal{A}$  and suppose that  $M[\mathcal{C}(-, x)]$  is noetherian for all  $x \in \mathcal{C}$ . Then  $M[\mathcal{D}(-, y)]$  is noetherian for all  $y \in \mathcal{D}$ .*

*Proof.* A finite chain

$$\bigsqcup_{i=1}^n \mathcal{C}(-, x_i) = F_0 \twoheadrightarrow F_1 \hookleftarrow F_2 \twoheadrightarrow \cdots \twoheadrightarrow F_{n-1} \hookleftarrow F_n = \mathcal{D}(\phi-, y)$$

of epimorphisms and monomorphisms induces a chain

$$\prod_{i=1}^n M[\mathcal{C}(-, x_i)] = \bar{F}_0 \twoheadrightarrow \bar{F}_1 \hookleftarrow \bar{F}_2 \twoheadrightarrow \cdots \twoheadrightarrow \bar{F}_{n-1} \hookleftarrow \bar{F}_n = M[\mathcal{D}(\phi-, y)]$$

of epimorphisms and monomorphisms in  $\text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{A})$ . Thus  $M[\mathcal{D}(\phi-, y)]$  is noetherian. It follows that  $M[\mathcal{D}(-, y)]$  is noetherian, since precomposition with  $\phi$  yields a faithful and exact functor  $\text{Fun}(\mathcal{D}^{\text{op}}, \mathcal{A}) \rightarrow \text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{A})$ .  $\square$

**Proposition 6.3.** *Let  $f: \mathcal{C} \rightarrow \mathcal{D}$  be a contravariantly finite functor and  $\mathcal{A}$  a locally noetherian Grothendieck abelian category. If the category  $\text{Fun}(\mathcal{C}^{\text{op}}, \mathcal{A})$  is locally noetherian, then  $\text{Fun}(\mathcal{D}^{\text{op}}, \mathcal{A})$  is locally noetherian.*

*Proof.* Combine Lemmas 3.2 and 6.2.  $\square$

<sup>1</sup>The terminology follows that introduced by Auslander and Smalø [1] for an inclusion functor.

## 7. CATEGORIES OF FINITE SETS

Let  $\Gamma$  denote the category of finite sets (a skeleton is given by the sets  $\mathbf{n} = \{1, 2, \dots, n\}$ ). The subcategory of finite sets with surjective morphisms is denoted by  $\Gamma_{\text{sur}}$ . A surjection  $f: \mathbf{m} \rightarrow \mathbf{n}$  is *ordered* if  $i < j$  implies  $\min f^{-1}(i) < \min f^{-1}(j)$ . We write  $\Gamma_{\text{os}}$  for the subcategory of finite sets whose morphisms are ordered surjections. Given a surjection  $f: \mathbf{m} \rightarrow \mathbf{n}$ , let  $f^!: \mathbf{n} \rightarrow \mathbf{m}$  denote the map given by  $f^!(i) = \min f^{-1}(i)$ . Note that  $ff^! = \text{id}$ , and  $gf = f^!g^!$  provided that  $f$  and  $g$  are ordered surjections.

**Lemma 7.1.** (1) *The inclusion  $\Gamma_{\text{sur}} \rightarrow \Gamma$  is contravariantly finite.*  
(2) *The inclusion  $\Gamma_{\text{os}} \rightarrow \Gamma_{\text{sur}}$  is contravariantly finite.*

*Proof.* (1) For each integer  $n \geq 0$  there is an isomorphism

$$\bigsqcup_{\mathbf{m} \hookrightarrow \mathbf{n}} \Gamma_{\text{sur}}(-, \mathbf{m}) \xrightarrow{\sim} \Gamma(-, \mathbf{n})$$

which is induced by the injective maps  $\mathbf{m} \rightarrow \mathbf{n}$ .

(2) For each integer  $n \geq 0$  there is an isomorphism

$$\Gamma_{\text{os}}(-, \mathbf{n}) \times \mathfrak{S}_n \xrightarrow{\sim} \Gamma_{\text{sur}}(-, \mathbf{n})$$

which sends a pair  $(f, \sigma)$  to  $\sigma f$ . The inverse sends a surjective map  $g: \mathbf{m} \rightarrow \mathbf{n}$  to  $(\tau^{-1}g, \tau)$  where  $\tau \in \mathfrak{S}_n$  is the unique permutation such that  $g^!\tau$  is increasing.  $\square$

Fix an integer  $n \geq 0$ . Given  $f, g \in \Gamma(\mathbf{n})$  we set  $f \leq g$  if there exists an ordered surjection  $h$  such that  $f = gh$ .

**Lemma 7.2.** *The poset  $(\Gamma(\mathbf{n}), \leq)$  is strongly noetherian.*

*Proof.* We fix some notation for each  $f \in \Gamma(\mathbf{m}, \mathbf{n})$ . Set  $\lambda(f) = m$ . If  $f$  is not injective, set

$$\mu(f) = m - \max\{i \in \mathbf{m} \mid \text{there exists } j < i \text{ such that } f(i) = f(j)\}$$

and  $\pi(f) = f(m - \mu(f))$ . Define  $\tilde{f} \in \Gamma(\mathbf{m} - \mathbf{1}, \mathbf{n})$  by setting  $\tilde{f}(i) = f(i)$  for  $i < m - \mu(f)$  and  $\tilde{f}(i) = f(i + 1)$  otherwise.

Note that  $f \leq \tilde{f}$ . Moreover,  $\mu(f) = \mu(g)$ ,  $\pi(f) = \pi(g)$ , and  $\tilde{f} \leq \tilde{g}$  imply  $f \leq g$ .

Suppose that  $(\Gamma(\mathbf{n}), \leq)$  is not strongly noetherian. Then there exists an infinite sequence  $(f_r)_{r \in \mathbb{N}}$  in  $\Gamma(\mathbf{n})$  such that  $i < j$  implies  $f_j \not\leq f_i$ ; see Lemma 2.2. Call such a sequence *bad*. Choose the sequence *minimal* in the sense that  $\lambda(f_i)$  is minimal for all bad sequences  $(g_r)_{r \in \mathbb{N}}$  with  $g_j = f_j$  for all  $j < i$ . There is an infinite subsequence  $(f_{\alpha(r)})_{r \in \mathbb{N}}$  (given by some increasing map  $\alpha: \mathbb{N} \rightarrow \mathbb{N}$ ) such that  $\mu$  and  $\pi$  agree on all  $f_{\alpha(r)}$ , since the values of  $\mu$  and  $\pi$  are bounded by  $n$ . Now consider the sequence  $f_0, f_1, \dots, f_{\alpha(0)-1}, \tilde{f}_{\alpha(0)}, \tilde{f}_{\alpha(1)}, \dots$  and denote this by  $(g_r)_{r \in \mathbb{N}}$ . This sequence is not bad, since  $(f_r)_{r \in \mathbb{N}}$  is minimal. Thus there are  $i < j$  in  $\mathbb{N}$  with  $g_j \leq g_i$ . Clearly,  $j < \alpha(0)$  is impossible. If  $i < \alpha(0)$ , then

$$f_{\alpha(j-\alpha(0))} \leq \tilde{f}_{\alpha(j-\alpha(0))} = g_j \leq g_i = f_i,$$

which is a contradiction, since  $i < \alpha(0) \leq \alpha(j - \alpha(0))$ . If  $i \geq \alpha(0)$ , then  $f_{\alpha(j-\alpha(0))} \leq f_{\alpha(i-\alpha(0))}$ ; this is a contradiction again. Thus  $(\Gamma(\mathbf{n}), \leq)$  is strongly noetherian.  $\square$

**Proposition 7.3.** *The category  $\Gamma_{\text{os}}$  is a Gröbner category.*

*Proof.* Fix an integer  $n \geq 0$ . The poset  $\bar{\Gamma}_{\text{os}}(\mathbf{n})$  is strongly noetherian by Lemma 7.2, and it follows from Lemma 4.1 that the functor  $\Gamma_{\text{os}}(-, \mathbf{n})$  is noetherian.

The admissible partial order on  $\Gamma_{\text{os}}(\mathbf{n})$  is given by the lexicographic order. Thus for  $f, g \in \Gamma_{\text{os}}(\mathbf{m}, \mathbf{n})$ , we have  $f < g$  if there exists  $j \in \mathbf{m}$  with  $f(j) < g(j)$  and  $f(i) = g(i)$  for all  $i < j$ .  $\square$

**Theorem 7.4.** *Let  $\mathcal{A}$  be a locally noetherian Grothendieck abelian category. Then the category  $\text{Fun}(\Gamma^{\text{op}}, \mathcal{A})$  is locally noetherian.*

*Proof.* The category  $\Gamma_{\text{os}}$  is a Gröbner category by Proposition 7.3. It follows from Theorem 5.2 that  $\text{Fun}((\Gamma_{\text{os}})^{\text{op}}, \mathcal{A})$  is locally noetherian. The inclusion  $\Gamma_{\text{os}} \rightarrow \Gamma$  is contravariantly finite by Lemma 7.1. Thus  $\text{Fun}(\Gamma^{\text{op}}, \mathcal{A})$  is locally noetherian by Proposition 6.3.  $\square$

## 8. THE ARTINIAN CONJECTURE

Let  $A$  be a ring. We denote by  $\mathcal{P}(A)$  the category of free  $A$ -modules of finite rank. If  $A$  is finite, then the functor  $\Gamma \rightarrow \mathcal{P}(A)$  sending  $X$  to  $A[X]$  is a left adjoint of the forgetful functor  $\mathcal{P}(A) \rightarrow \Gamma$ .

**Lemma 8.1.** *Let  $A$  be finite. Then the functor  $\Gamma \rightarrow \mathcal{P}(A)$  is contravariantly finite.*

*Proof.* The assertion follows from the adjointness isomorphism

$$\mathcal{P}(A)(A[X], P) \cong \Gamma(X, P). \quad \square$$

**Theorem 8.2.** *Let  $A$  be a finite ring and  $\mathcal{A}$  a locally noetherian Grothendieck abelian category. Then the category  $\text{Fun}(\mathcal{P}(A)^{\text{op}}, \mathcal{A})$  is locally noetherian.*

*Proof.* Combine Theorem 7.4 with Lemma 8.1 and Proposition 6.3.  $\square$

## 9. FI-MODULES

The proof of the artinian conjecture yields an alternative proof of the following result due to Church, Ellenberg, Farb, and Nagpal.

Let  $\Gamma_{\text{inj}}$  denote the category whose objects are finite sets and whose morphisms are injective maps.

**Theorem 9.1** ([2, Theorem A]). *Let  $\mathcal{A}$  be a locally noetherian Grothendieck abelian category. Then the category  $\text{Fun}(\Gamma_{\text{inj}}, \mathcal{A})$  is locally noetherian.*

*Proof.* The following argument has been suggested by Kai-Uwe Bux. Consider the functor  $\phi: \Gamma_{\text{os}} \rightarrow (\Gamma_{\text{inj}})^{\text{op}}$  which is the identity on objects and takes a map  $f: \mathbf{m} \rightarrow \mathbf{n}$  to  $f^!: \mathbf{n} \rightarrow \mathbf{m}$  given by  $f^!(i) = \min f^{-1}(i)$ . This functor is contravariantly finite, since for each integer  $n \geq 0$  the morphism

$$\Gamma_{\text{os}}(-, \mathbf{n}) \times \mathfrak{S}_n \longrightarrow \Gamma_{\text{inj}}(\mathbf{n}, \phi-)$$

which sends a pair  $(f, \sigma)$  to  $f^!\sigma$  is an epimorphism.

It follows from Proposition 6.3 that the category  $\text{Fun}(\Gamma_{\text{inj}}, \mathcal{A})$  is locally noetherian, since  $\text{Fun}((\Gamma_{\text{os}})^{\text{op}}, \mathcal{A})$  is locally noetherian by Proposition 7.3 and Theorem 5.2.  $\square$

NOTE ADDED IN PROOF

After completing this paper I found that Theorem 5.2 is precisely the statement of Theorem 3.1 in [G. Richter, Noetherian semigroup rings with several objects, in *Group and semigroup rings (Johannesburg, 1985)*, 231–246, North-Holland Math. Stud., 126, North-Holland, Amsterdam, 1986].

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