

# ON THE RELATIONS FOR GROTHENDIECK GROUPS OF COHEN-MACAULAY MODULES OVER GORENSTEIN RINGS

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ABSTRACT. We consider the converse of the Butler, Auslander-Reiten's Theorem which is on the relations for Grothendieck groups. We show that a Gorenstein ring is of finite representation type if the Auslander-Reiten sequences generate the relations for Grothendieck groups. This gives an affirmative answer of the conjecture due to Auslander.

## 1. INTRODUCTION

Throughout this section,  $(R, \mathfrak{m})$  denote a commutative Cohen-Macaulay complete ring with the residue field  $k$ . All  $R$ -modules are assumed to be finitely generated. We say that an  $R$ -module  $M$  is Cohen-Macaulay if

$$\mathrm{Ext}_R^i(k, M) = 0 \quad \text{for all } i < \dim R.$$

We denote by  $\mathrm{mod}(R)$  the category of  $R$ -modules with  $R$ -homomorphisms and by  $\mathcal{C}$  the full subcategory of  $\mathrm{mod}(R)$  consisting of all Cohen-Macaulay  $R$ -modules.

Set  $G(\mathcal{C}) = \bigoplus_{X \in \mathrm{ind} \mathcal{C}} \mathbb{Z} \cdot [X]$ , which is a free abelian group generated by isomorphism classes of indecomposable objects in  $\mathcal{C}$ . We denote by  $\mathrm{EX}(\mathcal{C})$  a subgroup of  $G(\mathcal{C})$  generated by

$$\{[X] + [Z] - [Y] \mid \text{there is an exact sequence } 0 \rightarrow Z \rightarrow Y \rightarrow X \rightarrow 0 \text{ in } \mathcal{C}\}.$$

We also denote by  $\mathrm{AR}(\mathcal{C})$  a subgroup of  $G(\mathcal{C})$  generated by

$$\{[X] + [Z] - [Y] \mid \text{there is an AR sequence } 0 \rightarrow Z \rightarrow Y \rightarrow X \rightarrow 0 \text{ in } \mathcal{C}\}.$$

Let  $K_0(\mathcal{C})$  be a Grothendieck group of  $\mathcal{C}$ . By the definition,  $K_0(\mathcal{C}) = G(\mathcal{C})/\mathrm{EX}(\mathcal{C})$ .

On the relation for Grothendieck groups, Butler[3], Auslander-Reiten[2], and Yoshino[7] prove the following theorem.

**Theorem 1.** [3, 2, 7] *If  $R$  is of finite representation type then  $\mathrm{EX}(\mathcal{C}) = \mathrm{AR}(\mathcal{C})$ .*

Here we say that  $R$  is of finite representation type if there are only a finite number of isomorphism classes of indecomposable Cohen-Macaulay  $R$ -modules.

Auslander conjectured the converse of Theorem 1 is true. Actually it has been proved by Auslander[1] for Artin algebras and by Auslander-Reiten[2] for complete one dimensional domain. In this note we consider for the case of complete Gorenstein local rings with an isolated singularity. Actually we shall show the following theorem.

**Theorem 2.** [5] *Let  $R$  be a complete Gorenstein local ring with an isolated singularity and with algebraically closed residue field. If  $\mathrm{EX}(\mathcal{C}) = \mathrm{AR}(\mathcal{C})$ , then  $R$  is of finite representation type.*

## 2. PROOF OF THEOREM 2

In the rest of the note, we always assume that  $(R, \mathfrak{m})$  is a complete Gorenstein local ring with the residue field  $k$ . For the category of Cohen-Macaulay  $R$ -modules  $\mathcal{C}$ , we denote by  $\underline{\mathcal{C}}$  the stable category of  $\mathcal{C}$ . The objects of  $\underline{\mathcal{C}}$  are the same as those of  $\mathcal{C}$ , and the morphisms of  $\underline{\mathcal{C}}$  are elements of  $\underline{\mathrm{Hom}}_R(M, N) = \mathrm{Hom}_R(M, N)/P(M, N)$  for  $M, N \in \underline{\mathcal{C}}$ , where  $P(M, N)$  denote the set of morphisms from  $M$  to  $N$  factoring through free  $R$ -modules. Since  $R$  is complete,  $\mathcal{C}$ , hence  $\underline{\mathcal{C}}$ , is a Krull-Schmidt category. For  $M \in \mathcal{C}$  we denote it by  $\underline{M}$  to indicate that it is an object of  $\underline{\mathcal{C}}$ . For a finitely generated  $R$ -module  $M$ , take a free presentation

$$\cdots \rightarrow F_1 \xrightarrow{d} F_0 \rightarrow M \rightarrow 0.$$

We denote  $\mathrm{Im} d$  by  $\Omega M$ , which is called a (first) syzygy of  $M$ . And we also denote by  $\mathrm{tr}M$  the cokernel  $F_0^* \xrightarrow{d^*} F_1^*$  where  $(-)^* = \mathrm{Hom}_R(-, R)$ .

**Lemma 3.** [5, Lemma 2.1] *There exists  $X \in \mathcal{C}$  such that  $\underline{\mathrm{Hom}}_R(M, X) \neq 0$  for all  $M \in \mathcal{C}$  with  $\underline{M} \neq 0$  in  $\underline{\mathcal{C}}$ .*

*Proof.* Take a Cohen-Macaulay approximation of the residue field  $k$  as  $X$ . One can show that  $X$  satisfies the assumption of the lemma.  $\square$

The stable category  $\underline{\mathcal{C}}$  has a structure of a triangulated category since  $R$  is Gorenstein (cf. [4]). By the definition of a triangle,  $\underline{L} \rightarrow \underline{M} \rightarrow \underline{N} \rightarrow \underline{L}[1]$  is a triangle in  $\underline{\mathcal{C}}$  if and only if there is an exact sequence  $0 \rightarrow L \rightarrow M' \rightarrow N \rightarrow 0$  in  $\mathcal{C}$  with  $\underline{M'} \cong \underline{M}$  in  $\underline{\mathcal{C}}$ . To prove our theorem, we use a theory of Auslander-Reiten (abbr. AR) triangles. The notion of AR triangles is a stable analogy of AR sequences.

**Definition 4.** [4, Chapter I, §4] We say that a triangle  $\underline{Z} \rightarrow \underline{Y} \xrightarrow{f} \underline{X} \xrightarrow{w} \underline{Z}[1]$  in  $\underline{\mathcal{C}}$  is an AR triangle ending in  $\underline{X}$  (or starting from  $\underline{Z}$ ) if it satisfies

- (1)  $\underline{X}$  and  $\underline{Z}$  are indecomposable.
- (2)  $w \neq 0$ .
- (3) If  $g : \underline{W} \rightarrow \underline{X}$  is not a split epimorphism, then there exists  $\underline{h} : \underline{W} \rightarrow \underline{Y}$  such that  $\underline{g} = \underline{f} \circ \underline{h}$ .

*Remark 5.* Let  $0 \rightarrow Z \rightarrow Y \xrightarrow{f} X \rightarrow 0$  be an AR sequence in  $\mathcal{C}$ . Then  $\underline{Z} \rightarrow \underline{Y} \xrightarrow{f} \underline{X} \rightarrow \underline{Z}[1]$  is an AR triangle in  $\underline{\mathcal{C}}$ . See [6, Proposition 2.2] for example.

We say that  $(R, \mathfrak{m})$  is an isolated singularity if each localization  $R_{\mathfrak{p}}$  is regular for each prime ideal  $\mathfrak{p}$  with  $\mathfrak{p} \neq \mathfrak{m}$ . Note that if  $R$  is an isolated singularity,  $\mathcal{C}$  admits AR sequences (cf. [7, Theorem 3.2]). Hence  $\underline{\mathcal{C}}$  admits AR triangles (Remark 5). We also note that since we have the isomorphism  $\underline{\mathrm{Hom}}_R(M, N) \cong \mathrm{Tor}_1^R(\mathrm{tr}M, N)$  for finitely generated  $R$ -modules  $M$  and  $N$ , one can show that  $\mathrm{length}_R(\underline{\mathrm{Hom}}_R(M, N))$  is finite for  $M, N \in \underline{\mathcal{C}}$  if  $R$  is an isolated singularity. When  $U$  is indecomposable in  $\mathcal{C}$  then denote by  $\mu(\underline{U}, \underline{X})$  the multiplicity of  $\underline{U}$  as a direct summand of  $\underline{X}$ . We also denote by  $[\underline{U}, \underline{X}]$  the integer  $\mathrm{length}_R(\underline{\mathrm{Hom}}_R(U, X))$ .

**Proposition 6.** [5, Proposition 2.4][6, Proposition 2.14 (1)] *Let  $R$  be an isolated singularity and let  $\underline{Z} \xrightarrow{g} \underline{Y} \xrightarrow{f} \underline{X} \rightarrow \underline{Z}[1]$  be an AR triangle in  $\underline{\mathcal{C}}$ . Then the following equality holds for each indecomposable  $U \in \underline{\mathcal{C}}$ :*

$$[\underline{U}, \underline{X}] + [\underline{U}, \underline{Z}] - [\underline{U}, \underline{Y}] = \mu(\underline{U}, \underline{X}) \cdot \dim_k k_{\underline{X}} + \mu(\underline{U}, \underline{\Omega X}) \cdot \dim_k k_{\underline{\Omega X}},$$

where  $k_{\underline{X}} = \underline{\text{End}}_R(X)/\text{rad}\underline{\text{End}}_R(X)$ .

*Proof of Theorem 2.* Let  $X$  be the module that satisfies the conditions as in Lemma 3. Take the syzygy of  $X$ .

$$0 \rightarrow \Omega X \rightarrow P \rightarrow X \rightarrow 0.$$

By the assumption, since  $\text{EX}(\underline{\mathcal{C}}) = \text{AR}(\underline{\mathcal{C}})$ , we have the equality in  $\text{G}(\underline{\mathcal{C}})$ ,

$$[X] + [\Omega X] - [P] = \sum_{M \in \text{ind}\underline{\mathcal{C}}}^{\text{finite}} a_{M,X}([M] + [\tau M] - [E_M]),$$

where  $[M] + [\tau M] - [E_M]$  come from AR sequences  $0 \rightarrow \tau M \rightarrow E_M \rightarrow M \rightarrow 0$ . The equality yields that

$$(2.1) \quad [\underline{U}, \underline{X} \oplus \underline{\Omega X}] = \sum_{M \in \text{ind}\underline{\mathcal{C}}}^{\text{finite}} a_{M,X}([\underline{U}, \underline{M}] + [\underline{U}, \underline{\tau M}] - [\underline{U}, \underline{E_M}])$$

for each  $U \in \underline{\mathcal{C}}$ . Since  $\underline{\tau M} \rightarrow \underline{E_M} \rightarrow \underline{M} \rightarrow \underline{\tau M}[1]$  are AR triangles (Remark 5), by Proposition 6, we see that there are only a finite number of indecomposable modules in  $\underline{\mathcal{C}}$  that makes the RHS in (2.1) non-zero, so is LHS. By Lemma 3, we conclude that  $\underline{\mathcal{C}}$ , hence  $\mathcal{C}$  is of finite representation type.  $\square$

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