

# Degenerations of Cohen-Macaulay modules via matrix representations

Naoya Hiramatsu

National Institute of Technology, Kure College

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Throughout the talk,  $(R, \mathfrak{m})$  is a commutative noetherian complete local  $k$ -algebra with a residue field  $k = \overline{k}$ .

- Since  $R$  is complete, by Cohen's structure theorem for a complete local rings,

$\exists S \subset R$  : a regular local ring s.t.  $R$  is a module-finite  $S$ -algebra.

- We say that  $R$  is Cohen-Macaulay (abbr. CM) if  $R$  is free as an  $S$ -module. We also say that a finitely generated  $R$ -module  $M$  is a CM module if  $M$  is free as an  $S$ -module.

$$\text{CM}(R) = \{M \mid \exists n \in \mathbb{N}, M \cong S^n \text{ as an } S\text{-module.}\}$$

In the rest of the talk, we assume that  $R$  is CM.

- Let  $M \in \text{CM}(R)$ . Since  $M \cong S^n$  for some  $n$ ,

$\exists \mu : R \rightarrow \text{End}_S(M) \cong M_n(S)$ ; a  $k$ -algebra homomorphism.

- $\mu$  is called a **matrix-representation** of  $M$  over  $S$ .

### Example

Let  $R = k[[x, y]]/(x^2)$ . Then  $S = k[[y]] \subset R \cong S \oplus xS$ . It is known that  $R$  is of countable representation type and isomorphism classes of indecomposable CM modules are the following;

$$R, \quad R/(x), \quad (x, y^n) \quad n \geq 1.$$

Then the matrix representations of these modules are

$$\begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad (0), \quad \begin{pmatrix} 0 & y^n \\ 0 & 0 \end{pmatrix} \quad n \geq 1.$$

## Definition (degeneration (Yoshino, 2004))

Let  $V = k[[t]]$ . For  $M, N \in \text{CM}(R)$ . We say that  $M$  degenerates to  $N$  if there exists a finitely generated  $R \otimes_k V$ -module  $Q$  such that

- (1)  $Q$  is  $V$ -flat.
- (2)  $Q/tQ \cong N$  as an  $R$ -module.
- (3)  $Q_t \cong M \otimes_k V_t$  as an  $R \otimes_k V_t$ -module.

## Remark

- [Zwara, 2000], [Yoshino, 2004]  $M$  degenerates to  $N$  if and only if

$$\exists 0 \rightarrow Z \xrightarrow{\begin{pmatrix} \varphi \\ \psi \end{pmatrix}} M \oplus Z \rightarrow N \rightarrow 0 \text{ s.t. } \psi^n = 0 \text{ for } n \gg 1.$$

- If  $\exists 0 \rightarrow L \rightarrow M \rightarrow N \rightarrow 0$ , then  $M$  degenerates to  $L \oplus N$ .

## History

The degeneration problem of modules has been studied by many authors.

- [Bongartz, 1996] If  $R$  is a representation directed  $k$ -algebra,  $M \leq_{hom} N \Leftrightarrow M \leq_{deg} N$ .
- [Zwara, 1999] If  $R$  is of finite representation type,  $M \leq_{deg} N \Leftrightarrow M \leq_{ext} N$ .
- [-, Yoshino, 2013] If  $R$  is an even-dimensional hypersurface of finite representation type  $(A_n)$ ,  $M \leq_{deg} N \Leftrightarrow M \leq_{ext} N$  on  $CM(R)$ .

## Today

$R$  is a hypersurface of countable representation type  $(A_\infty)$ , that is  $R = k[[x, y]]/(x^2)$ ,  $k[[x, y, z]]/(x^2 + y^2)$ .

## Proposition

Let  $M, N \in \text{CM}(R)$ . Suppose that  $M$  degenerates to  $N$ . Let  $Q$  be a finitely generated  $R \otimes_k V$ -module which gives the degeneration. Then  $Q$  is **free** as an  $S \otimes_k V$ -module.

- By the proposition, we can consider the matrix representation of  $Q$  over  $S \otimes_k V$ ;

$$\exists \xi : R \otimes_k V \rightarrow M_n(S \otimes_k V) \quad \text{for some } n \in \mathbb{N}.$$

## Corollary

Let  $M, N \in \text{CM}(R)$ . Then  $M$  degenerates to  $N$  if and only if there exists the matrix representation  $\xi$  over  $S \otimes_k V$  such that

$$\xi \otimes_V V/t \cong \nu \quad \text{and} \quad \xi \otimes_V V_t \cong \mu \otimes V_t,$$

where  $\nu$  and  $\mu$  are the matrix representation of  $N$  and  $M$  respectively.

- For matrices  $\mu$  and  $\nu$ , we denote by  $\mu \cong \nu$  if  $\exists P$ : invertible matrix s.t.  $P^{-1}\mu P = \nu$ .

## Corollary (Necessary condition for degenerations)

Let  $M, N \in \text{CM}(R)$ . Suppose that  $M$  degenerates to  $N$ . Let  $Q$  be a finitely generated  $R \otimes_k V$ -module which gives the degeneration. We denote by  $\mu$  (resp.  $\xi$ ) the matrix representation of  $M$  (resp.  $Q$ ). Then we have the following equalities in  $S \otimes_k V$ :

- (1)  $\text{tr}(\xi) = \text{tr}(\mu)$ ,
- (2)  $\det(\xi) = \det(\mu)$ ,
- (3)  $\forall j > 0, \exists l \geq 0$  s.t.  $l_j(\xi) = t^l l_j(\mu)$ .

- Here, for a matrix  $\mu$ ,
  - ▶  $\text{tr}(\mu)$ : a trace of  $\mu$ .
  - ▶  $\det(\mu)$ : a determinant of  $\mu$ .
  - ▶  $l_j(\mu)$ : an ideal generated by  $j$ -minors of  $\mu$ .

## Theorem ( $\dim R = 1$ )

Let  $R = k[[x, y]]/(x^2)$ . Then  $\begin{pmatrix} 0 & y^a \\ 0 & 0 \end{pmatrix}$  degenerates to  $\begin{pmatrix} 0 & y^b \\ 0 & 0 \end{pmatrix}$  if and only if  $a \leq b$  and  $a \equiv b \pmod{2}$ .

## Proof.

( $\Leftarrow$ ) We consider  $\begin{pmatrix} ty^{\frac{a+b}{2}} & y^b \\ -t^2y^a & -ty^{\frac{a+b}{2}} \end{pmatrix}$  as  $\xi \in M_2(S \otimes_k V)$ .

( $\Rightarrow$ ) Check the condition on  $a$  and  $b$  to satisfy the corollary. □



## Theorem ( $\dim R = 2$ )

Let  $R = k[[x, y, z]]/(x^2 - yx)$ . Then  $\begin{pmatrix} 0 & z^a \\ 0 & y \end{pmatrix}$  (resp.  $\begin{pmatrix} y & z^a \\ 0 & 0 \end{pmatrix}$ ) never degenerates to  $\begin{pmatrix} 0 & z^b \\ 0 & y \end{pmatrix}$  (resp.  $\begin{pmatrix} y & z^b \\ 0 & 0 \end{pmatrix}$ ) for all  $a < b$ .

## Remark

Let  $R$  be  $k[[x, y, z]]/(x^2 - xy)$ . It is also known that  $R$  is of countable representation type. The isomorphism classes of indecomposable CM modules are

$$R, \quad (x), \quad (x - y), \quad (x, z^n), \quad (x - y, z^n), \quad n \geq 1.$$

Then the matrix representations of these modules are

$$\begin{pmatrix} y & 1 \\ 0 & 0 \end{pmatrix}, \quad (y), \quad (0), \quad \begin{pmatrix} y & z^n \\ 0 & 0 \end{pmatrix}, \quad \begin{pmatrix} 0 & z^n \\ 0 & y \end{pmatrix}, \quad n \geq 1$$

Thank you for your attention.