

# ON BALANCED AUSLANDER-DLAB-RINGEL ALGEBRAS

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ABSTRACT. In this note, we give a sufficient condition for an Auslander–Dlab–Ringel algebra to be balanced quasi-hereditary.

## 1. PRELIMINARIES

Throughout this note,  $\mathbf{k}$  is a field and  $\mathbb{D} := \text{Hom}_{\mathbf{k}}(-, \mathbf{k})$ . Let  $\Lambda$  be a basic finite dimensional  $\mathbf{k}$ -algebra with a complete set  $\{e_1, e_2, \dots, e_n\}$  of primitive orthogonal idempotents. For  $i \in I := \{1, 2, \dots, n\}$ , let  $P(i) = e_i\Lambda$ ,  $E(i) = \mathbb{D}(\Lambda e_i)$  and  $S(i) = \text{top}P(i) = \text{soc}E(i)$ .

**1.1. Quasi-hereditary algebras.** In this subsection, we recall the definitions of quasi-hereditary algebras and strongly quasi-hereditary algebras. For details, we refer to [2, 9].

**Definition 1.** Let  $\leq$  be a partial order on  $I$ .

- (1) A pair  $(\Lambda, \leq)$  is called a *quasi-hereditary algebra* if there exist  $\Lambda$ -modules  $\Delta(i)$  ( $i \in I$ ) such that
  - (a) there is a surjection  $\Delta(i) \rightarrow S(i)$  with kernel having composition factors  $S(j)$  with  $j < i$ ,
  - (b) there is a surjection  $P(i) \rightarrow \Delta(i)$  with kernel being filtered by  $\Delta(j)$  with  $j > i$ .

We call  $\Delta(i)$  the *standard* module with respect to  $i$ .

- (2) A quasi-hereditary algebra  $(\Lambda, \leq)$  is said to be *right-strongly* if the projective dimension of each standard  $\Lambda$ -module is at most one. A quasi-hereditary algebra  $(\Lambda, \leq)$  is said to be *left-strongly* if  $(\Lambda^{\text{op}}, \leq)$  is right-strongly.
- (3) A quasi-hereditary algebra  $(\Lambda, \leq)$  is said to be *strongly* if  $(\Lambda, \leq)$  is right-strongly and left-strongly.

Note that  $(\Lambda, \leq)$  is a quasi-hereditary algebra if and only if there exist  $\Lambda$ -modules  $\nabla(i)$  ( $i \in I$ ) such that

- there is an injection  $S(i) \rightarrow \nabla(i)$  with cokernel having composition factors  $S(j)$  with  $j < i$ ,
- there is an injection  $\nabla(i) \rightarrow E(i)$  with cokernel being filtered by  $\nabla(j)$  with  $j > i$ .

We call  $\nabla(i)$  the *costandard* module with respect to  $i$ .

By [8], a quasi-hereditary algebra  $\Lambda$  has a basic tilting-cotilting  $\Lambda$ -module  $T$ , which is a direct sum of all indecomposable Ext-injective objects in the full subcategory of  $\text{mod}\Lambda$  whose objects are the modules that admit a  $\Delta$ -filtration, i.e., a filtration whose subquotients are standard modules. Moreover,  $R(\Lambda) := \text{End}_{\Lambda}(T)^{\text{op}}$  is a quasi-hereditary

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algebra with respect to the opposite order of  $\leq$  and  $R(R(\Lambda)) \cong \Lambda$ . We call  $R(\Lambda)$  the Ringel dual of  $(\Lambda, \leq)$ .

**1.2. Auslander–Dlab–Ringel algebras.** In this subsection, we recall the definition and basic properties of Auslander–Dlab–Ringel algebras. Let  $\Lambda$  be a basic finite dimensional  $\mathbf{k}$ -algebra and  $J_\Lambda$  the Jacobson radical of  $\Lambda$ . Put

$$G := \bigoplus_{i \in I} \bigoplus_{j=1}^{l_i} P(i)/P(i)J_\Lambda^j,$$

where  $l_i$  is the Loewy length of the indecomposable projective  $\Lambda$ -module  $P(i)$ . We call the endomorphism algebra  $A := \text{End}_\Lambda(G)$  the *Auslander–Dlab–Ringel (ADR) algebra* of  $\Lambda$ . Then the complete set of isomorphism classes of indecomposable projective  $A$ -modules are given by

$$\{P(i, j) := \text{Hom}_\Lambda(G, P(i)/P(i)J_\Lambda^j) \mid i \in I, 1 \leq j \leq l_i\}.$$

Let

$$\mathcal{S}_A := \{(i, j) \mid i \in I, 1 \leq j \leq l_i\}.$$

For  $(i, j), (k, l) \in \mathcal{S}_A$ , we write  $(i, j) \trianglelefteq (k, l)$  if  $j \geq l$ . Then  $\trianglelefteq$  gives a partial order on  $\mathcal{S}_A$ .

Auslander [1] shows the global dimension of  $A$  is finite, and Dlab–Ringel [4] proves that  $(A, \trianglelefteq)$  is a quasi-hereditary algebra. Moreover, we have the following result.

**Proposition 2.** [3, 10] *Let  $\Lambda$  be a finite dimensional algebra. Then the ADR algebra  $A$  of  $\Lambda$  is a left-strongly quasi-hereditary algebra. Moreover, the following statements are equivalent.*

- (1)  $(A, \trianglelefteq)$  is a strongly quasi-hereditary algebra.
- (2)  $\text{gldim} A = 2$ .
- (3)  $J_\Lambda \in \text{add} G$ .

**1.3. Koszul algebras.** Let us recall the definition of Koszul algebras and Koszul duals, originated from [7]. We will mostly follow the convention of [5]. We call a  $\mathbb{Z}$ -graded  $\mathbf{k}$ -algebra  $\Gamma = \bigoplus_{n \in \mathbb{Z}} \Gamma_n$  is called a *positively graded algebra* if the following three conditions are satisfied.

- $\Gamma_0$  is semisimple.
- $\dim_K \Gamma_n < \infty$  for all  $n$ .
- $\Gamma_n = 0$  for all  $n < 0$ .

We denote by  $\langle 1 \rangle$  the grading shift (endo-)functor on the category of graded  $\Gamma$ -modules. Let us assume for simplicity that all algebras we consider are finite-dimensional. Then every (ungraded) projective  $\Gamma$ -module  $P$  can be canonically graded with its top concentrated in degree 0. In particular, every (ungraded) simple  $\Gamma$ -module  $S$  is also canonically graded. By abuse of notation, we use the same symbol for the graded lifts of these modules.

**Definition 3.** A positively graded algebra  $\Gamma$  is called a *Koszul algebra* if each simple module  $S$  (concentrated in degree 0) admits a projective resolution in the category of graded  $\Gamma$ -modules of the form

$$\cdots \rightarrow P_j \langle -j \rangle \rightarrow \cdots \rightarrow P_1 \langle -1 \rangle \rightarrow P_0 \rightarrow S \rightarrow 0,$$

where  $P_j$  are projective  $\Gamma$ -modules with the canonical grading.

When  $\Gamma$  is a Koszul algebra, then the Yoneda algebra  $E(\Gamma) := \text{Ext}_{\Gamma}^{\bullet}(\Gamma_0, \Gamma_0)$  is a Koszul algebra and  $E(E(\Gamma)) \cong \Gamma$  as positively graded algebras.

**1.4. Balanced quasi-hereditary algebras.** For a positively graded quasi-hereditary algebra  $\Gamma$ , one can ask the following question.

Do the operations of taking the Ringel dual and taking the Koszul dual commute?

A sufficient condition for these two dual to commute is given in [5, 6], and quasi-hereditary algebras satisfying such a condition are called balanced; let us recall its definition now.

Let  $\Gamma$  be a positively graded quasi-hereditary algebra. As in the case of projective and simple modules, one can show that the standard modules, the costandard modules, and the characteristic tilting module admit a canonical grading.

**Definition 4.** Let  $\Gamma$  be a positively graded quasi-hereditary algebra and let  $T$  be its (canonically graded) characteristic tilting module. Then  $\Gamma$  is called a *balanced quasi-hereditary algebra* if each (canonically graded) standard module  $\Delta$  admits an exact sequence in the category of graded modules of the form:

$$0 \rightarrow \Delta \rightarrow T^0 \rightarrow T^1\langle 1 \rangle \rightarrow \cdots \rightarrow T^i\langle i \rangle \rightarrow \cdots$$

with  $T^j \in \text{add}T$  ( $\forall j \geq 0$ ), and each (canonically graded) costandard module  $\nabla$  admits an exact sequence in the category graded modules of the form:

$$\cdots \rightarrow T_i\langle -i \rangle \rightarrow \cdots \rightarrow T_1\langle -1 \rangle \rightarrow T_0 \rightarrow \nabla \rightarrow 0$$

with  $T_j \in \text{add}T$  ( $\forall j \geq 0$ ).

Let us state the result of [5] more precisely.

**Theorem 5.** [5] *Let  $\Gamma$  be a balanced quasi-hereditary algebra. Then the following statements hold.*

- (1)  $\Gamma$  is a Koszul algebra.
- (2)  $R(\Gamma)$  and  $E(\Gamma)$  are also balanced quasi-hereditary algebras and hence Koszul.
- (3)  $E(R(\Gamma)) \cong R(E(\Gamma))$ .

## 2. MAIN RESULTS

In this section, we give a sufficient condition for an ADR algebra to be balanced quasi-hereditary. Let  $\mathbf{k}$  be an algebraically closed field. Let  $\Lambda$  be a basic connected finite dimensional  $\mathbf{k}$ -algebra with a complete set  $\{e_1, e_2, \dots, e_n\}$  of primitive orthogonal idempotents.

Let  $Q = (Q_0, Q_1)$  be the Gabriel quiver of  $\Lambda$ . Define a new quiver  $Q^{ADR} = (Q'_0, Q'_1)$  as follows.

$$\begin{aligned} Q'_0 &:= \{(i, k) \mid i \in Q_0, 1 \leq k \leq l_i\}, \\ Q'_1 &:= \{\alpha_{i,k} : (i, k) \rightarrow (i, k+1) \mid i \in Q_0, 1 \leq k < l_i\} \\ &\quad \sqcup \{\beta_{i,k} : (i, k) \rightarrow (j, k-1) \mid (i \rightarrow j) \in Q_1, 1 < k \leq l_i\}. \end{aligned}$$

Let  $I^{ADR}$  be a two-sided ideal of the path algebra  $\mathbf{k}Q^{ADR}$  generated by the following two relations: For each arrow  $(i \rightarrow j) \in Q_1$ ,  $\alpha_{i,1}\beta_{i,2}$  and  $\alpha_{i,k}\beta_{i,k+1} - \beta_{i,k}\alpha_{j,k-1}$  ( $1 < k < l_i$ ).

A module is said to be *rigid* if its radical series coincides with its socle series. A direct sum of indecomposable rigid modules is called a *semirigid module*.

**Lemma 6.** *Let  $A$  be the ADR algebra of  $\Lambda$ . Assume  $\Lambda$  is a semirigid  $\Lambda$ -module with  $J_\Lambda \in \text{add}G$ . Then  $A \cong \mathbf{k}Q^{ADR}/I^{ADR}$  and is positively graded quasi-hereditary with grading given by path length.*

Using Lemma 6, we can show the following key lemma.

**Lemma 7.** *There exist exact sequences in the category of graded modules*

$$\begin{aligned} 0 \rightarrow \Delta(i, k) \rightarrow T(i, k) \rightarrow \bigoplus_{(j \rightarrow i) \in Q_1} T(j, k+1)\langle 1 \rangle \rightarrow 0, \\ 0 \rightarrow T(i, k+1)\langle -1 \rangle \rightarrow T(i, k) \rightarrow \nabla(i, k) \rightarrow 0. \end{aligned}$$

This allows us to deduce the desired sufficient condition.

**Theorem 8.** *Let  $\Lambda$  be a finite dimensional algebra and let  $A$  be its ADR algebra. Assume that  $A$  is strongly quasi-hereditary. Then the following statements are equivalent.*

- (1)  *$A$  is a balanced quasi-hereditary algebra.*
- (2)  *$A$  is a Koszul algebra.*
- (3)  *$\Lambda$  is a semirigid  $\Lambda$ -module.*

*Proof.* (1) $\Rightarrow$ (2): This follows from Proposition 5(1).

(2) $\Rightarrow$ (3): We can show that if  $\Lambda$  is not semirigid, then  $A$  has a non-homogeneous relation. This implies  $A$  is not quadratic, and hence not Koszul.

(3) $\Rightarrow$ (1): This follows from Lemma 7. □

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