

# Generalizations of the correspondence between quasi-hereditary algebras and directed bocses

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## Setting

$K$  : algebraically closed field

$A$  : finite dimensional  $K$ -algebra with  $n$  simple modules

$A\text{-mod}$  : category of finitely generated left  $A$ -modules

$P_1, \dots, P_n$  : pairwise non-isomorphic indecomposable projective  $A$ -modules

$\Lambda = (\{1, \dots, n\}, \leq)$  : totally ordered set

$\Delta_1, \dots, \Delta_n$  : standard  $A$ -modules for  $\Lambda$

$$\text{Hom}_A(\Delta_i, \Delta_j) = 0 \text{ for } i > j, \quad \text{Ext}_A^1(\Delta_i, \Delta_j) = 0 \text{ for } i \geq j$$

$\overline{\Delta}_1, \dots, \overline{\Delta}_n$  : properly standard  $A$ -modules for  $\Lambda$

$$\text{End}_A(\overline{\Delta}_i) \cong K, \quad \text{Hom}_A(\overline{\Delta}_i, \overline{\Delta}_j) = 0 \text{ for } i > j, \quad \text{Ext}_A^1(\overline{\Delta}_i, \overline{\Delta}_j) = 0 \text{ for } i > j$$

$\mathcal{B} = (B, W)$  : bocs with projective kernel  $\overline{W} = \text{Ker } \varepsilon$  ( $\varepsilon$  : counit of  $W$ )

i.e.  $B$  is a finite dimensional basic  $K$ -algebra and  $W$  is a  $B$ -coalgebra.

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In this talk, we generalize the relationship between quasi-hereditary algebras and directed bocses given by Koenig, Külshammer and Ovsienko. They showed the following result.

### KKO theory [Koenig-Külshammer-Ovsienko '14]

We have a bijection

$$\begin{array}{c} \{\text{Morita equivalent classes of quasi-hereditary algebras}\} \\ \updownarrow \\ \{\text{Equivalence classes of the module categories over directed bocses}\}. \end{array}$$

Let a quasi-hereditary algebra  $A$  and a directed bocs  $\mathcal{B}$  correspond via the above bijection. Then the right Burt-Butler algebra  $R_{\mathcal{B}}$  of  $\mathcal{B}$  is Morita equivalent to  $A$ . Moreover,  $R_{\mathcal{B}}$  has a homological exact Borel subalgebra.

This includes the result by Brzeziński, Koenig and Külshammer.

## Aim

We have a bijection

{Morita equivalent classes of  $\Delta$ -filtered (resp.  $\overline{\Delta}$ -filtered) algebras}



{Equivalence classes of the module categories over  
weakly directed (resp. one-cyclic directed) bocses}

Let a  $\Delta$ -filtered (resp.  $\overline{\Delta}$ -filtered) algebra  $A$  and a weakly directed (resp. one-cyclic directed) bocs  $\mathcal{B}$  correspond via the above bijection. Then the right Burt-Butler algebra  $R_{\mathcal{B}}$  of  $\mathcal{B}$  is Morita equivalent to  $A$ . Moreover,  $R_{\mathcal{B}}$  has a homological exact (resp. proper) Borel subalgebra.

## Definitions

### Algebras (QHA, $\Delta$ -FA, $\overline{\Delta}$ -FA)

- 1 An algebra  $A$  is  $\Delta$ -filtered (or a  $\Delta$ -FA) if there is some  $\Lambda$  such that  ${}_{\Lambda}A$  is filtered by  $\Delta$ . Similarly, a  $\overline{\Delta}$ -FA is defined.
- 2 An algebra  $A$  is quasi-hereditary (or a QHA) if it is a  $\Delta$ -FA and  $\Delta = \overline{\Delta}$  for  $\Lambda$ .

Hereafter, assume that every totally ordered set  $\Lambda$  is natural, i.e.,  $\{1 < 2 < \dots < n\}$ .

### Bocses (DB, WDB, ODB)

Let  $e_1, \dots, e_n$  be pairwise orthogonal primitive idemp. of  $B$ . Then a bocsc  $\mathcal{B} = (B, W)$  is called

{	directed (DB)	if $\text{rad}_B(Be_i, Be_j) = 0$ for $i \leq j$ and $\overline{W} \cong \bigoplus_{i>j} (Be_i \otimes_K e_j B)^{d_{ij}}$
	weakly directed (WDB)	if $\text{rad}_B(Be_i, Be_j) = 0$ for $i \leq j$ and $\overline{W} \cong \bigoplus_{i \geq j} (Be_i \otimes_K e_j B)^{d_{ij}}$
	one-cyclic directed (ODB)	if $\text{rad}_B(Be_i, Be_j) = 0$ for $i < j$ and $\overline{W} \cong \bigoplus_{i>j} (Be_i \otimes_K e_j B)^{d_{ij}}$

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KKO theory was shown by three lemmas.

(1) [Dlab-Ringel '90]

Let  $\mathcal{C}$  be an abelian category with standardizable set  $\Theta = \{\Theta_1, \dots, \Theta_n\}$  i.e.

$$\text{End}(\Theta_i) \cong K, \text{ Hom}(\Theta_i, \Theta_j) = 0 \text{ for } i > j, \text{ and } \text{Ext}^1(\Theta_i, \Theta_j) = 0 \text{ for } i \geq j.$$

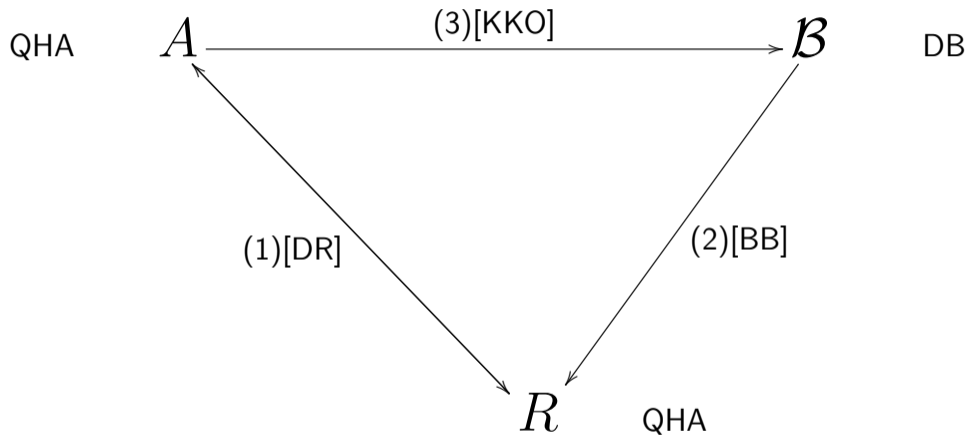
Then there is a unique QHA  $A$ , up to Morita equivalence, such that  $\mathcal{F}(\Theta) \simeq \mathcal{F}(\Delta_A)$ .

(2) [Burt-Butler '91], [Brzeziński-Koenig-Külshammer '20], [KKO]

Let  $\mathcal{B} = (B, W)$  be a DB. Then the right Burt-Butler algebra  $R_{\mathcal{B}}$  of  $\mathcal{B}$  is a QHA such that  $\mathcal{B} - \text{mod} \simeq \mathcal{F}(\Delta_{R_{\mathcal{B}}})$ , and  $B$  is a homological exact Borel subalgebra of  $R_{\mathcal{B}}$ .

(3) [KKO]

Let  $A$  be a QHA. Then there exists a DB  $\mathcal{B}_A$  such that  $\mathcal{F}(\Delta_A) \simeq \mathcal{B}_A - \text{mod}$ .



## (1) [Dlab-Ringel '90]

Let  $\mathcal{C}$  be an abelian category with standardizable set  $\Theta = \{\Theta_1, \dots, \Theta_n\}$  i.e.

$$\text{End}(\Theta_i) \cong K, \quad \text{Hom}(\Theta_i, \Theta_j) = 0 \text{ for } i > j, \quad \text{and} \quad \text{Ext}^1(\Theta_i, \Theta_j) = 0 \text{ for } i \geq j.$$

Then there is a unique QHA  $A$ , up to Morita equivalence, such that  $\mathcal{F}(\Theta) \simeq \mathcal{F}(\Delta_A)$ .

## Sketch of the proof of (1)

- ① Put  $P(i, i) = \Theta_i$  and inductively define  $P(i, m)$  as a universal extension of  $\Theta_m$  by  $P(i, m - 1)$ .
- ② We get  $P(i, n)$ , which is an Ext-projective object in  $\mathcal{F}(\Theta)$ .
- ③ Let  $A = \text{End}_{\mathcal{C}}(\bigoplus_{i=1}^n P(i, n))$ . Then  $A$  is a QHA such that  $\mathcal{F}(\Theta) \simeq \mathcal{F}(\Delta_A)$ .

## (2) [Burt-Butler '91], [Brzeziński-Koenig-Külshammer '20], [KKO]

Let  $\mathcal{B} = (B, W)$  be a DB. Then the right Burt-Butler algebra  $R_{\mathcal{B}}$  of  $\mathcal{B}$  is a QHA such that  $\mathcal{B} - \text{mod} \simeq \mathcal{F}(\Delta_{R_{\mathcal{B}}})$ , and  $B$  is a homological exact Borel subalgebra of  $R_{\mathcal{B}}$ .

## Sketch of the proof of (2)

- ① We define  $R = R_{\mathcal{B}} = \text{Hom}_{B^{\text{op}} \otimes B}(W, \text{End}_K(B))$  and put  $\Delta_R(i) = R \otimes_B S_B(i)$  where  $S_B(i)$  are simple  $B$ -modules.
- ② Then  $\mathcal{B} - \text{mod} \simeq \text{Ind}(B, R) \simeq \mathcal{F}(\Delta_R)$ .
- ③ Moreover  $\Delta_R$  is a standardizable set in  $R - \text{mod}$  since the maps

$$\text{Ext}_B^1(X, Y) \rightarrow \text{Ext}_R^1(R \otimes X, R \otimes Y), \quad X, Y : B\text{-modules}$$

are surjective.

## (3) [KKO]

Let  $A$  be a QHA. Then there exists a DB  $\mathcal{B}_A$  such that  $\mathcal{F}(\Delta_A) \simeq \mathcal{B}_A - \text{mod}$ .

## Sketch of the proof of (3)

- ① Let  $\mathcal{A} = \text{Ext}_A^*(\Delta, \Delta)$  and  $Q = D_s \mathcal{A}$  where  $s$  is a suspension for a graded algebra  $\mathcal{A}$ .
- ②  $U = T[Q]/I$  where  $T[Q]$  is a tensor algebra of  $Q$  over  $\bigoplus K \text{id}_{\Delta_i}$  and  $I$  is an ideal generated by  $Q^{\leq -1}$  and  $d(Q^{-1})$ . This  $d$  is a differential of  $T[Q]$  and induced from graded maps for an  $A_\infty$ -algebra  $\mathcal{A}$ .
- ③  $B = T[Q^0]/T[Q^0] \cap I$  and  $W = U_1/d(B)$  form a DB  $\mathcal{B} = (B, W)$  such that  $\mathcal{F}(\Delta_A) \simeq \mathcal{B}_A - \text{mod}$ .

## Example 1-1

$$A = K(1 \begin{array}{c} \xrightarrow{\alpha_1} \\ \xleftarrow{\beta_1} \end{array} 2 \begin{array}{c} \xrightarrow{\alpha_2} \\ \xleftarrow{\beta_2} \end{array} 3) / \langle \alpha_2\alpha_1, \beta_1\beta_2, \alpha_1\beta_1 - \beta_2\alpha_2, \alpha_2\beta_2 \rangle: \text{QHA}$$

$$P_1 = \begin{array}{c} 1 \\ \frac{1}{2} \\ 1 \end{array}, P_2 = \begin{array}{c} 1 \\ \frac{2}{2} \\ 3 \end{array}, P_3 = \begin{array}{c} 3 \\ \frac{3}{2} \\ 2 \end{array}$$

$$\Delta_1 = 1, \Delta_2 = \begin{array}{c} 2 \\ \frac{2}{1} \\ 1 \end{array}, \Delta_3 = \begin{array}{c} 3 \\ \frac{3}{2} \\ 2 \end{array}$$

$$\dim \text{rad}(\Delta_i, \Delta_{i+1}) = 1, \dim \text{Ext}^1(\Delta_i, \Delta_{i+1}) = 1 \text{ for } i = 1, 2$$

$$\mathcal{B}: 1 \begin{array}{c} \xrightarrow{a} \\ \xrightarrow{\dots} \\ \xrightarrow{x} \end{array} 2 \begin{array}{c} \xrightarrow{b} \\ \xrightarrow{\dots} \\ \xrightarrow{y} \end{array} 3 \text{ with } ba = 0: \text{DB}$$

This relation comes from a non-zero element  $m_2(b, a) \in \text{Ext}^2(\Delta_1, \Delta_3)$  where  $m_2$  is the Yoneda product.

## Example 1-2

$$\mathcal{B} : 1 \begin{array}{c} \xrightarrow{a} \\ \xrightarrow{x} \end{array} 2 \begin{array}{c} \xrightarrow{b} \\ \xrightarrow{y} \end{array} 3 \quad \text{with } ba = 0 \Rightarrow R :$$

$$\begin{array}{c} B = \\ \\ B = \end{array}
 \begin{array}{ccccc}
 K & \longrightarrow & K^2 & \longrightarrow & K^2 \\
 \vdots & \searrow & \vdots & \searrow & \vdots \\
 K & \longrightarrow & K^2 & \longrightarrow & K^2
 \end{array}$$

$R$  is isomorphic to

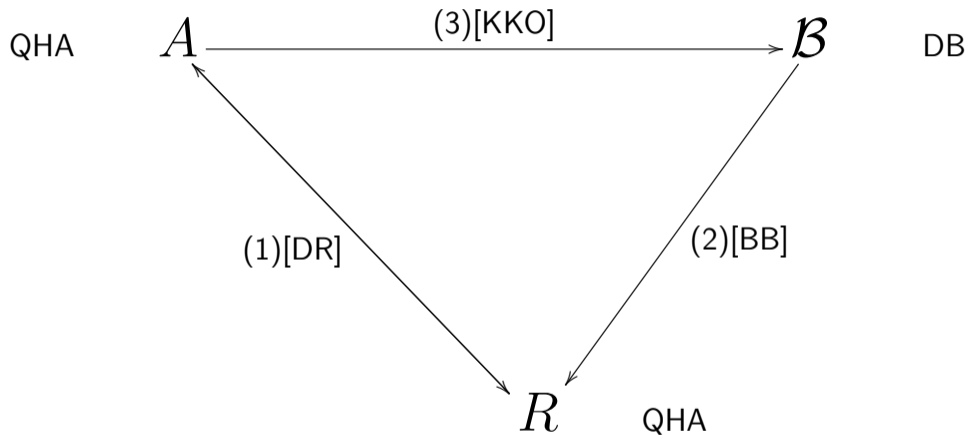
$$\left\{ \left[ \begin{array}{cccc} x_3 & x_4 & y_2 & y_3 \\ & x_5 & & y_4 \\ & & x_1 & x_2 \\ & & & x_3 \end{array} \right] \middle| x_i, y_j \in K \right\} \cong K \left( \begin{array}{ccc} & 2 & \\ \beta_1 \swarrow & & \searrow \alpha_2 \\ 1 & & 3 \\ \alpha_1 \searrow & & \swarrow \beta_2 \\ & 2' & \end{array} \right) / \langle e_2 - e_{2'}, \beta_1 \alpha_1 - \alpha_2 \beta_2 \rangle$$

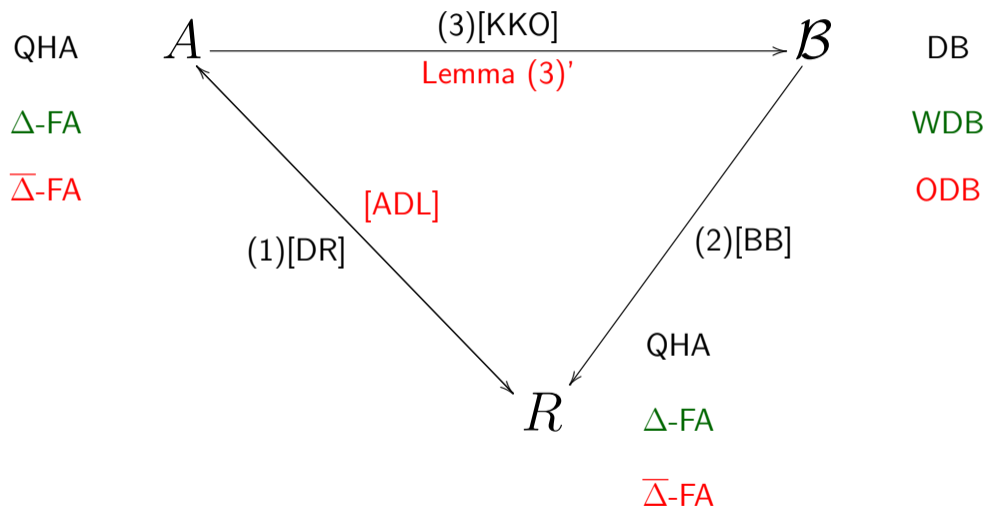
$$\cong K \left( 1 \begin{array}{c} \xrightarrow{\alpha_1} \\ \xleftarrow{\beta_1} \end{array} 2 \begin{array}{c} \xrightarrow{\alpha_2} \\ \xleftarrow{\beta_2} \end{array} 3 \right) / \left\langle \begin{array}{c} \alpha_2 \alpha_1, \beta_1 \beta_2, \\ \alpha_1 \beta_1 - \beta_2 \alpha_2, \alpha_2 \beta_2 \end{array} \right\rangle.$$

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The conditions  $\text{End}_A(\Delta_i) \cong K$  are not essential for KKO theory. Hence we conclude that the following theorem.

### $\Delta$ -filtered algebras v.s. weakly directed bocses

We have a bijection

{Morita equivalent classes of  $\Delta$ -filtered algebras}



{Equivalence classes of the module categories over weakly directed bocses}.

Let a  $\Delta$ -filtered algebra  $A$  and a weakly directed bocs  $\mathcal{B}$  correspond via the above bijection. Then the right Burt-Butler algebra  $R_{\mathcal{B}}$  of  $\mathcal{B}$  is Morita equivalent to  $A$ . Moreover,  $R_{\mathcal{B}}$  has a homological exact Borel subalgebra.

Remark : This is induced from the result by Bautista, Pérez and Salmerón in 2020.

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When we apply KKO theory to  $\overline{\Delta}$ -filtered algebras, we must consider the following two problems.

## Problem 1

The first problem corresponds to Lemma (1). For a set  $\Theta$  satisfying

$$\text{End}(\Theta_i) \cong K, \text{ Hom}(\Theta_i, \Theta_j) = 0 \text{ for } i > j, \text{ and } \text{Ext}^1(\Theta_i, \Theta_j) = 0 \text{ for } i < j,$$

we can not guarantee that  $P(i, n)$  can be constructed within finitely many steps. So we use the next lemma.

Ágoston-Dlab-Lukács '08

Let  $A'$  be a finite dimensional algebra. Then there exists a unique  $\overline{\Delta}$ -FA  $A$ , up to Morita equivalence, such that  $\mathcal{F}(\overline{\Delta}_A) \simeq \mathcal{F}(\overline{\Delta}_{A'})$ .

## Problem 2

The second problem corresponds to Lemma (3).

$$A : \text{QHA} \Rightarrow \text{gldim } A < \infty \Rightarrow \dim \text{Ext}_A^*(\Delta, \Delta) < \infty$$

But in general, for a  $\overline{\Delta}$ -FA  $A$ , the algebra  $\mathcal{A} = \text{Ext}_A^*(\overline{\Delta}, \overline{\Delta})$  is not finite dimensional. Consider a factor algebra  $\overline{\mathcal{A}} = \mathcal{A}/\mathcal{A}^{\geq 3}$  of  $\mathcal{A}$ , which finite dimensional.

### Lemma (3)'

Let  $A$  be a  $\overline{\Delta}$ -FA and  $\mathcal{A} = \text{Ext}_A^*(\overline{\Delta}, \overline{\Delta})$ . We add the step

① Let  $\overline{\mathcal{A}} = \mathcal{A}/\mathcal{A}^{\geq 3}$ .

before ① in the sketch of the proof and change  $\mathcal{A}$  to  $\overline{\mathcal{A}}$  in the steps thereafter. Then we get an **ODB**  $\overline{\mathcal{B}}_A$  such that  $\mathcal{F}(\overline{\Delta}_A) \simeq \overline{\mathcal{B}}_A - \text{mod}$ .

When  $A$  is a QHA,  $\overline{\mathcal{B}}_A = \mathcal{B}_A$ .

As a consequence, we get the following.

## $\overline{\Delta}$ -filtered algebras v.s. one-cyclic directed bocses

We have a bijection

{Morita equivalent classes of  $\overline{\Delta}$ -filtered algebras}



{Equivalence classes of the module categories over one-cyclic directed bocses}.

Let a  $\overline{\Delta}$ -filtered algebra  $A$  and a one-cyclic directed bocs  $\mathcal{B}$  correspond via the above bijection. Then the right Burt-Butler algebra  $R_{\mathcal{B}}$  of  $\mathcal{B}$  is Morita equivalent to  $A$ . Moreover,  $R_{\mathcal{B}}$  has a homological proper Borel subalgebra.



## Example 2

$$A = K(\overset{\alpha}{\curvearrowright} 1 \xrightarrow{\beta} 2 \xrightarrow{\gamma} 3) / \langle \alpha^2, \gamma\beta\alpha \rangle: \overline{\Delta}\text{-FA}$$

$$P_1 = \begin{matrix} 1 \\ \frac{1}{2} \end{matrix}, P_2 = \begin{matrix} 2 \\ \frac{2}{3} \end{matrix}, P_3 = \begin{matrix} 3 \end{matrix},$$

The properly standard modules are simple.

$$\dim \text{Ext}^k(\overline{\Delta}_1, \overline{\Delta}_1) = 1 \text{ for } k \geq 0, \quad \dim \text{Ext}^1(\overline{\Delta}_i, \overline{\Delta}_{i+1}) = 1 \text{ for } i = 1, 2$$

$$\overline{\mathcal{B}}_A: \overset{a}{\curvearrowright} 1 \xrightarrow{b} 2 \xrightarrow{c} 3 \text{ with } a^2 = 0, cba = 0: \text{ ODB}$$

These relations come from non-zero elements

$m_2(a, a) \in \text{Ext}^2(\overline{\Delta}_1, \overline{\Delta}_1)$ ,  $m_3(c, b, a) \in \text{Ext}^2(\overline{\Delta}_1, \overline{\Delta}_3)$ , where  $m_3: \overline{\mathcal{A}}^{\otimes 3} \rightarrow \overline{\mathcal{A}}$  is a map of degree  $-1$  for an  $A_\infty$ -algebra  $\overline{\mathcal{A}}$ .

Thank you for your attention.