

SINGULARITY CATEGORIES OF RATIONAL DOUBLE POINTS IN ARBITRARY CHARACTERISTIC

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ABSTRACT. We consider whether there is a one-to-one correspondence between the singularity categories of rational double points and the simply-laced Dynkin graphs in arbitrary characteristic.

1. INTRODUCTION

A finite-dimensional separated Noetherian scheme X over an algebraically closed field k is *ELF* if X has enough locally free sheaves of finite rank (i.e. any coherent sheaf on X is a quotient of a locally free sheaf of finite rank). The *singularity category* $\mathbf{D}^{\text{sg}}(X)$ is defined to be the Verdier quotient of the derived category $\mathbf{D}^{\text{b}}(\text{Coh } X)$ by the full subcategory $\mathbf{Perf}(X)$ of perfect complexes. Since $\mathbf{D}^{\text{sg}}(X)$ is trivial if and only if X is smooth, $\mathbf{D}^{\text{sg}}(X)$ can be thought of what measures complexity of singularities. We are interested in the idempotent-completion $\overline{\mathbf{D}^{\text{sg}}(X)}$, which turns out to be triangulated equivalent to $\mathbf{D}^{\text{sg}}(\widehat{\mathcal{O}}_{X,p})$ if X has only one isolated Gorenstein singular point $p \in X$, rather than $\mathbf{D}^{\text{sg}}(X)$ itself. In fact, two ELF k -schemes X and Y whose formal completions \widehat{X} and \widehat{Y} along singular loci are isomorphic may have non-equivalent singularity categories $\mathbf{D}^{\text{sg}}(X)$ and $\mathbf{D}^{\text{sg}}(Y)$, whereas their idempotent-completions $\overline{\mathbf{D}^{\text{sg}}(X)}$ and $\overline{\mathbf{D}^{\text{sg}}(Y)}$ are triangulated equivalent. Conversely, does a triangulated equivalence $\overline{\mathbf{D}^{\text{sg}}(X)} \simeq \overline{\mathbf{D}^{\text{sg}}(Y)}$ induce an isomorphism $\widehat{X} \cong \widehat{Y}$? The answer is no in general because of Knörrer's periodicity ([11] and [16]). On the other hand, the answer is yes for the rational double points in characteristic 0 (cf. [1, Proposition 5.8]). The proof depends on their structures of quotient singularities and tautness. Notable facts in comparison with the case in characteristic 0 that the rational double points in positive characteristic are neither quotient singularities ([12, Theorem 9.2]) nor taut ([2, Section 3]) in general lead us to ask what happens in positive characteristic. In this paper, we consider the next question.

Question 1 (Question 15). *Set*

$$\begin{aligned} \text{Cat} &:= \{\mathbf{D}^{\text{sg}}(\widehat{\mathcal{O}}_{X,p}) \mid (X,p) \text{ is a rational double point over } k\}, \\ \text{Dyn} &:= \{\Delta \mid \Delta \text{ is a simply-laced Dynkin graph}\}. \end{aligned}$$

Then a correspondence

$$\begin{array}{ccc} \text{Cat}/(\text{triangulated equivalence}) & \rightarrow & \text{Dyn} \\ \mathbf{D}^{\text{sg}}(\widehat{\mathcal{O}}_{X,p}) & \mapsto & \Delta(X,p) \end{array}$$

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is a well-defined bijection, where each $\Delta(X, p)$ is the dual graph of the exceptional prime divisors of the minimal resolution of a rational double point (X, p) . In particular, if the characteristic of k is 2, 3 or 5, then there exist two rational double points which are not analytically isomorphic but whose singularity categories are triangulated equivalent.

If this is true, we can construct counter-examples (Corollary 18) in positive characteristic of the next theorem (1) (and hence (2)).

Theorem 2 ([8, Theorem 5.9], cf. [9, Theorem 1.4]). *Let $R = \mathbb{C}[[x_0, x_1, \dots, x_n]]/\langle f \rangle$ be an isolated hypersurface singularity.*

- (1) *The 0-th Hochschild cohomology of the dg singularity category $\mathbf{D}_{\text{dg}}^{\text{sg}}(R)$ is isomorphic to the Tyurina algebra of f .*
- (2) *Let $S = \mathbb{C}[[x_0, x_1, \dots, x_n]]/\langle g \rangle$ be an isolated hypersurface singularity. If the dg singularity category $\mathbf{D}_{\text{dg}}^{\text{sg}}(S)$ is quasi-equivalent to $\mathbf{D}_{\text{dg}}^{\text{sg}}(R)$, then S is isomorphic to R .*

Conventions.

- (1) k denotes an algebraically closed field.
- (2) Any functor between k -linear categories is assumed to be k -linear.
- (3) Let \mathcal{A} be a Krull–Schmidt category. $\text{add}(L)$ is the full subcategory of \mathcal{A} consisting of the summands of finite direct sums of an object L of \mathcal{A} . $\text{ind}(\mathcal{A})$ stands for the category of isomorphism classes of indecomposable objects of \mathcal{A} . The Auslander algebra of \mathcal{A} is an endomorphism ring $\text{Aus}(\mathcal{A}) := \text{End}_{\mathcal{A}}(M)$, where $M \in \mathcal{A}$ is the direct sum of representatives for $\text{ind}(\mathcal{A})$. This is uniquely determined by \mathcal{A} up to isomorphism. Provided \mathcal{A} constitutes a Frobenius category, the stable category is denoted by $\underline{\mathcal{A}}$ and any representative $N \in \mathcal{A}$ for $\text{ind}(\underline{\mathcal{A}})$ is assumed to be indecomposable.
- (4) Let Γ be a quiver. $k(\Gamma)$ (resp. $k[\Gamma]$) denotes the path category (resp. the path algebra) of Γ .
- (5) Let (Γ, τ) be a finite translation quiver. $k(\Gamma, \tau)$ (resp. $k[\Gamma, \tau]$) denotes the mesh category (resp. the mesh algebra) of (Γ, τ) , which is the quotient of the path category (resp. the path algebra) of Γ by the mesh ideal.
- (6) Let A be a ring. $\text{mod } A$ stands for the category of finitely generated left A -modules, and $\text{proj } A$ is a full subcategory of $\text{mod } A$ consisting of the projective left A -modules. M^\vee denotes the dual $\text{Hom}_A(M, A)$ of a left A -module M .
- (7) Let X be a normal surface with only one isolated singular point p . The dual graph of the exceptional prime divisors of the minimal resolution is referred to as the dual graph of the singularity.

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2. PRELIMINARIES

Let X be a quasi-projective k -variety with only one isolated Gorenstein singular point p and R denote $\widehat{\mathcal{O}}_{X,p}$.

Theorem 3 ([5, Chapter 4]). (1) *The category $\text{MCM}(R)$ of maximal Cohen–Macaulay R -modules constitutes a Frobenius subcategory of $\text{mod } R$.*

(2) *A functor*

$$\underline{\text{MCM}}(R) \rightarrow \mathbf{D}^{\text{sg}}(R)$$

induced by composition $\text{MCM}(R) \hookrightarrow \text{mod } R \hookrightarrow \mathbf{D}^{\text{sg}}(R)$ is a triangulated equivalence.

By virtue of Theorem 3, it suffices to consider $\underline{\text{MCM}}(R)$ in order to study the singularity category of an isolated Gorenstein singularity (X, p) . We use Auslander–Reiten theory of maximal Cohen–Macaulay R -modules. This theory provides us cohomological methods which enable us to systematically investigate the structures of $\text{MCM}(R)$ and $\underline{\text{MCM}}(R)$ via their Auslander–Reiten quivers.

Lemma 4. (1) *$\underline{\text{MCM}}(R)$ is a Hom-finite k -linear Krull–Schmidt category.*

(2) *Let (Γ, τ) be the Auslander–Reiten quiver of $\underline{\text{MCM}}(R)$. Then for any representatives $M, N \in \text{MCM}(R)$ for $\text{ind}(\underline{\text{MCM}}(R))$,*

$$\text{rk}_k \underline{\text{Hom}}_R(M, N) = \text{rk}_k \text{Ext}_R^1(N, \tau(M)).$$

Definition 5. (X, p) is simple if the following conditions hold.

- (X, p) is a hypersurface singularity;
- $\#\text{ind}(\text{MCM}(R)) < \infty$.

Theorem 6 ([2, Section 3] and [6, Theorem 1.4]). *The following statements are equivalent.*

- (1) *(X, p) is a rational double point.*
- (2) *(X, p) is simple of dimension 2.*
- (3) *R is isomorphic to $k[[x, y, z]]/\langle f \rangle$ with f one of the forms in Table 1.*

Table 1: The rational double points.

In characteristic 0 or not less than 7

A_n	$z^{n+1} + xy$	$\text{for } n \geq 1$
D_n	$z^2 + x^2y + y^{n-1}$	$\text{for } n \geq 4$
E_6	$z^2 + x^3 + y^4$	
E_7	$z^2 + x^3 + xy^3$	
E_8	$z^2 + x^3 + y^5$	

In characteristic 5

A_n	$z^{n+1} + xy$	$\text{for } n \geq 1$
D_n	$z^2 + x^2y + y^{n-1}$	$\text{for } n \geq 4$
E_6	$z^2 + x^3 + y^4$	
E_7	$z^2 + x^3 + xy^3$	
E_8^0	$z^2 + x^3 + y^5$	

$$E_8^1 \quad z^2 + x^3 + y^5 + xy^4$$

In characteristic 3

$$\begin{array}{lll} A_n & z^{n+1} + xy & \text{for } n \geq 1 \\ D_n & z^2 + x^2y + y^{n-1} & \text{for } n \geq 4 \\ E_6^0 & z^2 + x^3 + y^4 & \\ E_6^1 & z^2 + x^3 + y^4 + x^2y^2 & \\ E_7^0 & z^2 + x^3 + xy^3 & \\ E_7^1 & z^2 + x^3 + xy^3 + x^2y^2 & \\ E_8^0 & z^2 + x^3 + y^5 & \\ E_8^1 & z^2 + x^3 + y^5 + x^2y^3 & \\ E_8^2 & z^2 + x^3 + y^5 + x^2y^2 & \end{array}$$

In characteristic 2

$$\begin{array}{lll} A_n & z^{n+1} + xy & \text{for } n \geq 1 \\ D_{2n}^0 & z^2 + x^2y + xy^n & \text{for } n \geq 2 \\ D_{2n}^r & z^2 + x^2y + xy^n + xy^{n-r}z & \text{for } n \geq 2 \text{ and } 1 \leq r < n \\ D_{2n+1}^0 & z^2 + x^2y + y^n z & \text{for } n \geq 2 \\ D_{2n+1}^r & z^2 + x^2y + y^n z + xy^{n-r}z & \text{for } n \geq 2 \text{ and } 1 \leq r < n \\ E_6^0 & z^2 + x^3 + y^2z & \\ E_6^1 & z^2 + x^3 + y^2z + xyz & \\ E_7^0 & z^2 + x^3 + xy^3 & \\ E_7^1 & z^2 + x^3 + xy^3 + x^2yz & \\ E_7^2 & z^2 + x^3 + xy^3 + y^3z & \\ E_7^3 & z^2 + x^3 + xy^3 + xyz & \\ E_8^0 & z^2 + x^3 + y^5 & \\ E_8^1 & z^2 + x^3 + y^5 + xy^3z & \\ E_8^2 & z^2 + x^3 + y^5 + xy^2z & \\ E_8^3 & z^2 + x^3 + y^5 + y^3z & \\ E_8^4 & z^2 + x^3 + y^5 + xyz & \end{array}$$

Remark 7. In Theorem 6 (3), the label of f in Table 1 illustrates what the dual graph of the rational double point is. For example if f is the form of E_8^1 in characteristic 2, the dual graph is the Dynkin graph of type E_8 . On the other hand, for any f and g in Table 1, k -algebras $k[[x, y, z]]/\langle f \rangle$ and $k[[x, y, z]]/\langle g \rangle$ are isomorphic if and only if $f = g$. In particular, we cannot distinguish rational double points by their dual graphs if the characteristic of k is 2, 3 or 5.

Proposition 8 ([4, Theorem 1]). *Assume (X, p) be a rational double point. The Auslander–Reiten quiver (Γ, τ) of $\underline{\text{MCM}}(R)$ is a double quiver of the dual graph of (X, p) , where the Auslander–Reiten translation τ is the identity on Γ_0 .*

In a suitable condition, the categorical structure of a Hom-finite k -linear Krull–Schmidt category can be recovered from its Auslander–Reiten quiver. The key is a notion of standardness of categories we introduce here.

Definition 9. A Hom-finite k -linear Krull–Schmidt category \mathcal{A} is standard if

$$k[\Gamma, \tau] \simeq \text{ind}(\mathcal{A}),$$

where (Γ, τ) is the Auslander–Reien quiver.

Remark 10. Let \mathcal{A} be a Hom-finite k -linear Krull–Schmidt category satisfying $\#\text{ind}(\mathcal{A}) < \infty$ and (Γ, τ) denote the Auslander–Reiten quiver. Then \mathcal{A} is standard if and only if $k[\Gamma, \tau] \cong \text{Aus}(\mathcal{A})$.

Proposition 11 ([7, Theorem 3.3], cf. [10, Corollary 2]). *Set*

$$\begin{aligned} \text{Cat} &:= \left\{ \mathcal{A} \mid \begin{array}{l} \mathcal{A} \text{ is a standard Hom-finite } k\text{-linear Krull–Schmidt} \\ \text{algebraic triangulated category satisfying } \#\text{ind}(\mathcal{A}) < \infty \end{array} \right\}, \\ \text{Alg} &:= \{k[\Gamma, \tau] \mid (\Gamma, \tau) \text{ is a finite translation quiver satisfying } \text{rk}_k k[\Gamma, \tau] < \infty\}. \end{aligned}$$

Then a correspondence

$$\begin{array}{ccc} \text{Cat}/(\text{triangulated equivalence}) & \rightleftharpoons & \text{Alg}/\cong \\ \mathcal{A} & \mapsto & \text{Aus}(\mathcal{A}) \\ \text{proj } k[\Gamma, \tau] & \longleftarrow & k[\Gamma, \tau] \end{array}$$

is a well-defined bijection.

3. MAIN RESULT

Let R denote $\widehat{\mathcal{O}}_{X,p}$ for a rational double point (X, p) over k , $\pi: \widetilde{X} \rightarrow \text{Spec } R$ the minimal resolution, E_1, E_2, \dots, E_n the exceptional prime divisors and T_n the Dynkin type of the dual graph of (X, p) with $T \in \{A, D, E\}$. We identify a maximal Cohen–Macaulay R -module M with the associated coherent sheaf, and set

$$\widetilde{M} := (\pi^* M)/(\text{torsion}).$$

Let (Γ, τ) denote the Auslander–Reiten quiver of $\underline{\text{MCM}}(R)$, and $M_1, M_2, \dots, M_n \in \text{MCM}(R)$ be the representatives for $\text{ind}(\underline{\text{MCM}}(R))$.

Question 12. A Hom-finite k -linear Krull–Schmidt algebraic triangulated category $\underline{\text{MCM}}(R)$ is standard if

$$\text{rk}_k k[\Gamma, \tau] = \text{rk}_k \text{Aus}(\underline{\text{MCM}}(R)).$$

Lemma 13. For any maximal Cohen–Macaulay R -modules M and N ,

$$\text{rk}_k \text{Ext}_R^1(M, N) = c_1(\widetilde{M}) \cdot c_1(\widetilde{N}^\vee / \widetilde{N}).$$

Proposition 14.

$$\text{rk}_k k[\Gamma, \tau] = \text{rk}_k \text{Aus}(\underline{\text{MCM}}(R)).$$

Proof. We proceed in two steps.

Step 1. We first calculate $\text{rk}_k \text{Aus}(\underline{\text{MCM}}(R))$. By [3, Theorem 1.11], there exist irreducible curves $\widetilde{D}_1, \widetilde{D}_2, \dots, \widetilde{D}_n$ on \widetilde{X} which represent 1st Chern classes $c_1(\widetilde{M}_1), c_1(\widetilde{M}_2), \dots, c_1(\widetilde{M}_n) \in \text{Cl}(\widetilde{X})$ respectively and after reordering M_1, M_2, \dots, M_n satisfy

$$c_1(\widetilde{M}_i) \cdot E_j = \widetilde{D}_i \cdot E_j = \delta_{i,j} \quad \text{for } i, j = 1, 2, \dots, n.$$

Set

$$D_j := \pi_* \widetilde{D}_j \quad \text{for } j = 1, 2, \dots, n.$$

Then the rational pullbacks are

$$\pi^* D_j = \widetilde{D}_j + (E_1 \ E_2 \ \cdots \ E_n) \mathbf{v}_j = c_1(\widetilde{M}_j) + (E_1 \ E_2 \ \cdots \ E_n) \mathbf{v}_j \quad \text{for } j = 1, 2, \dots, n,$$

where

$$(\mathbf{v}_1 \ \mathbf{v}_2 \ \cdots \ \mathbf{v}_n) := (v_{i,j})_{i,j} := -(E_i \cdot E_j)_{i,j}^{-1}$$

is the inverse of the Cartan matrix of type T_n . Each M_j^\vee is also an indecomposable maximal Cohen-Macaulay R -module and there exists a permutation $\sigma \in \mathfrak{S}_n$ such that $M_j^\vee \cong M_{\sigma(j)}$ for any $j = 1, 2, \dots, n$. Furthermore, considering $\text{Supp} \left(\pi_* (\widetilde{M}_j^{\vee\vee} / \widetilde{M}_j) \right) \subset \{p\}$, we have

$$0 = \pi_* \left(c_1(\widetilde{M}_j^{\vee\vee} / \widetilde{M}_j) \right) = \pi_* (-\widetilde{D}_{\sigma(j)} - \widetilde{D}_j) = -D_{\sigma(j)} - D_j \quad \text{for } j = 1, 2, \dots, n.$$

From the above,

$$\begin{aligned} & \text{rk}_k \text{Aus}(\underline{\text{MCM}}(R)) \\ &= \sum_{i,j} \text{rk}_k \text{Ext}_R^1(M_i, M_j) && \text{by Lemma 4} \\ &= \sum_{i,j} c_1(\widetilde{M}_i) \cdot \left(-c_1(\widetilde{M}_{\sigma(j)}) - c_1(\widetilde{M}_j) \right) && \text{by Lemma 13} \\ &= \sum_{i,j} c_1(\widetilde{M}_i) \cdot \left(-\pi^*(D_{\sigma(j)} + D_j) + (E_1 \ E_2 \ \cdots \ E_n) (\mathbf{v}_{\sigma(j)} + \mathbf{v}_j) \right) \\ &= \sum_{i,j} c_1(\widetilde{M}_i) \cdot (E_1 \ E_2 \ \cdots \ E_n) (\mathbf{v}_{\sigma(j)} + \mathbf{v}_j) \\ &= 2 \sum_{i,j} v_{i,j}. \end{aligned}$$

Summing up the entries of the inverse (i.e. $(v_{i,j})_{i,j}$) of the Cartan matrix of type T_n , we get $\text{rk}_k \text{Aus}(\underline{\text{MCM}}(R))$ as in Table 2.

TABLE 2. The rank of $\text{Aus}(\underline{\text{MCM}}(R))$ over k .

T_n	A_n	D_n	E_6	E_7	E_8
rk_k	$\frac{1}{6}n(n+1)(n+2)$	$\frac{1}{3}n(n-1)(2n-1)$	156	399	1240

Step 2. Next, we calculate $\text{rk}_k k[\Gamma, \tau]$. Let Q be a quiver whose underlying graph is the Dynkin graph of type T_n . Since $k[\Gamma, \tau]$ is the preprojective algebra associated to the Dynkin graph of type T_n , this is the direct sum of indecomposable left $k[Q]$ -modules.

Hence by Gabriel's Theorem,

$$\begin{aligned}
& \mathrm{rk}_k k[\Gamma, \tau] \\
&= \sum_{\alpha: \text{ a positive root in type } T_n} (\text{the height of } \alpha) \\
&= \sum_{e: \text{ an exponent in type } T_n} (1 + 2 + \cdots + e).
\end{aligned}$$

We can verify $\mathrm{rk}_k k[\Gamma, \tau]$ is equal to $\mathrm{rk}_k \mathrm{Aus}(\underline{\mathrm{MCM}}(R))$. \square

If Question 12 is true, combining Proposition 14 and Proposition 11, we can conclude that $\underline{\mathrm{MCM}}(R) \simeq \mathbf{D}^{\mathrm{sg}}(R)$ depends only on the Dynkin type T_n but not the analytic class of R .

Question 15. *Set*

$$\begin{aligned}
\mathrm{Cat} &:= \{\mathbf{D}^{\mathrm{sg}}(\widehat{\mathcal{O}}_{X,p}) \mid (X,p) \text{ is a rational double point over } k\}, \\
\mathrm{Dyn} &:= \{\Delta \mid \Delta \text{ is a simply-laced Dynkin graph}\}.
\end{aligned}$$

Then a correspondence

$$\begin{array}{ccc}
\mathrm{Cat}/(\text{triangulated equivalence}) & \rightarrow & \mathrm{Dyn} \\
\mathbf{D}^{\mathrm{sg}}(\widehat{\mathcal{O}}_{X,p}) & \mapsto & \text{the dual graph of } (X,p)
\end{array}$$

is a well-defined bijection. In particular, if the characteristic of k is 2, 3 or 5, then there exist two rational double points which are not analytically isomorphic but whose singularity categories are triangulated equivalent.

4. APPLICATION

If Question 15 is true, we can construct counter-examples (Question 18) in positive characteristic of Theorem 2 (1) (and hence (2)). Let $\mathbf{Perf}_{\mathrm{dg}}(X)$ and $\mathbf{D}_{\mathrm{dg}}^{\mathrm{b}}(\mathrm{Coh} X)$ denote the unique dg enhancements ([13, Theorem 7.9 and Theorem 8.13]) of $\mathbf{Perf}(X)$ and $\mathbf{D}^{\mathrm{b}}(\mathrm{Coh} X)$ respectively for an ELF k -scheme X . The *dg singularity category* $\mathbf{D}_{\mathrm{dg}}^{\mathrm{sg}}(X)$ is defined to be the dg quotient of $\mathbf{D}_{\mathrm{dg}}^{\mathrm{b}}(\mathrm{Coh} X)$ by $\mathbf{Perf}_{\mathrm{dg}}(X)$. By construction, $\mathbf{D}_{\mathrm{dg}}^{\mathrm{sg}}(X)$ is a dg enhancement of $\mathbf{D}^{\mathrm{sg}}(X)$.

Theorem 16 ([15]). *Let \mathcal{A} be a Hom-finite k -linear Krull–Schmidt algebraic triangulated category. If \mathcal{A} has an additive generator, then \mathcal{A} admits a unique dg enhancement.*

Corollary 17. *Let (X,p) be a simple singularity. Then the singularity category $\mathbf{D}^{\mathrm{sg}}(\widehat{\mathcal{O}}_{X,p})$ admits a unique dg enhancement.*

Proof. The direct sum $M \in \underline{\mathrm{MCM}}(\widehat{\mathcal{O}}_{X,p})$ of representatives for $\mathrm{ind}(\underline{\mathrm{MCM}}(\widehat{\mathcal{O}}_{X,p}))$ is an additive generator of $\underline{\mathrm{MCM}}(\widehat{\mathcal{O}}_{X,p}) \simeq \mathbf{D}^{\mathrm{sg}}(\widehat{\mathcal{O}}_{X,p})$. \square

Corollary 18. *Assume the characteristic of k is 2, 3 or 5 and Question 15 is true. For any two positive integers m and n , there exist even dimensional simple singularities $k[[x_0, x_1, \dots, x_{2m}]]/\langle f \rangle$ and $k[[x_0, x_1, \dots, x_{2n}]]/\langle g \rangle$ such that their dg singularity categories are quasi-equivalent but the Tyurina algebras of f and g are not isomorphic.*

Proof. By Knörrer’s periodicity and [6], it suffices to show for $m = n = 1$. Let x, y and z denote x_0, x_1 and x_2 respectively and take f and g from Table 1 such that their Dynkin types coincide but $f \neq g$. Considering Question 15 and Corollary 17, we get a quasi-equivalence

$$\mathbf{D}_{\text{dg}}^{\text{sg}}(k \llbracket x, y, z \rrbracket / \langle f \rangle) \simeq \mathbf{D}_{\text{dg}}^{\text{sg}}(k \llbracket x, y, z \rrbracket / \langle g \rangle).$$

On the other hand, the Tyurina numbers of f and g are different (cf. [14, Part III 1.3]). \square

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